

2018 EDITION

# UIPM CONSTITUTIONAL BOOK



# CONTENTS

## I Statutes 4

- Title, Mission, Term, Seat 5
- Membership 6
- Governing Bodies of UIPM and Executive Board 7
- General Assembly of UIPM 8
- Supervision of the Association 10
- Financial Provisions 11
- Amendment of the Statutes 11
- Dissolution – Devolution of Patrimony 11
- Final Provisions 12

## II Rules on Internal Organisation 13

## III Election Rules 22

## IV Code of Ethics 28

- Legal basis and jurisdiction 29
- Relevant conduct 29
- Cooperation 30
- Procedure 31
- Penalties and costs 31
- Organs and jurisdictions 32
- UIPM Court of Arbitration 32
- Proceedings before the UIPM Court of Arbitration 32
- Exemption from liability 33
- Entry into force 33
- Annex 1: UIPM Procedural Rules 34
- Annex 2: Conflict of Interest Disclosure Statement 37
- Annex 3: UIPM Officials Disclosure Statement 38

## V Betting & Anti-Corruption Rules 40



# STATUTES

EFFECTIVE AS OF 10 NOVEMBER 2018



UNION INTERNATIONALE  
DE PENTATHLON MODERNE

UNION INTERNATIONALE DE PENTATHLON MODERNE  
INTERNATIONAL UNION OF MODERN PENTATHLON

## STATUTES

### CHAPTER I TITLE, MISSION, TERM, SEAT

#### ARTICLE 1

1.1 An Association under Monegasque law, named

#### **UNION INTERNATIONALE DE PENTATHLON MODERNE,**

hereinafter **UIPM,**

is hereby formed under Law 1355 of 23 December 2008 for an indeterminate term. UIPM is governed by the general principles of Monegasque law applicable to contracts and obligations and by the provisions of the present Statutes as amended from time to time. UIPM is based in Monaco. It may only relocate, within the Principality, in accordance with a resolution passed by the General Assembly.

#### ARTICLE 2

2.1 Modern Pentathlon is the multi-disciplinary sport comprising fencing, swimming, horse riding, shooting and running or any combination thereof. In these Statutes "Modern Pentathlon" includes, as far as the context permits, every combination of the five sports including Biathlon (running and swimming), Biathle (continuous run/swim/run), Laser Run (running and shooting combined event) Triathle (any 3 sports) and Tetrathlon (any 4 sports).

2.2 UIPM is the sole competent authority over Modern Pentathlon, it exercises its powers worldwide and is recognised as such by the International Olympic Committee (IOC), the International Paralympic Committee (IPC), the Association of National Olympic Committees (ANOC) and the International University Sports Federation (FISU).

2.3 UIPM is a member of and supports the mission and ideals of the Association of the Summer Olympic International Federations (ASOIF), the International Pierre de Coubertin Committee, the International Fairplay Committee, the CIEPSS/ICSSPE: the International Council of Sport Science and Physical Education and the Conseil International du Sport Militaire CISM.

#### ARTICLE 3

3.1 The mission of UIPM is to promote the sport of Modern Pentathlon constantly and globally and to lead the Modern Pentathlon movement as recognised by the IOC.

3.2 The role of UIPM is to:

- a. develop Modern Pentathlon;
- b. establish and promote new national federations;
- c. Effective as of 10 November 2018 2
- d. promote integrity, ethics and fair-play in the sport of Modern Pentathlon and constituent sports preventing the use and diffusion of any kind of doping;
- e. promote loyal co-operation between all national organisations or federations;
- f. act as the international representative for Modern Pentathlon and all national federations of Modern Pentathlon;
- g. establish general rules and regulations to be applied in all national and international competitions of Modern Pentathlon and constituent sports;
- h. organise international competitions;
- i. enable individual athletes and teams from different nations to compete in international competitions under fair and even conditions;
- j. preserve and protect health and wellbeing of horses by establishing appropriate codes of conduct;
- k. pursue, itself or through other entities, commercial arrangements, including sponsorship and marketing opportunities and commercial arrangements in relation to UIPM's intellectual property appropriate to further its mission and role.

#### ARTICLE 4

4.1 Discrimination of any kind against a country, a private person or group of people on account of race, skin colour, ethnic, national or social origin, gender, language, religion, political or any other opinion, wealth, birth or any other status or sexual orientation or any other reason is strictly prohibited and strongly condemned by UIPM.

4.2 All positions within UIPM are open equally to women and men on the basis of a fair gender balance in appointments and elections, if achievable.

## CHAPTER 2 MEMBERSHIP

### ARTICLE 5

5.1 Only national Modern Pentathlon federations can become members of UIPM (hereinafter "Member").

### ARTICLE 6

6.1 A national federation wishing to become a member of UIPM shall apply in writing to the President of UIPM (the "President") and the Secretary General of UIPM (the "Secretary General"). Admission entails adherence to the Statutes as amended and modified from time to time. Provisional admission of Members shall be decided by the Executive Board. The Executive Board shall submit these nominations for confirmation at the following General Assembly. Only one national Modern Pentathlon federation per country can be admitted to membership of UIPM.

6.2 A national federation seeking membership of UIPM must be the National Governing Body of Modern Pentathlon or any of its constituent sports in a country and recognised as such by the country's National Olympic Committee (NOC). The country must be an independent state recognised as such by the international community. This provision shall not affect the status of existing Members.

6.3 UIPM may accept an application for membership from a federation in a region recognised by the international community as an emerging independent state, provided that such an application is supported by the national member federation of the country on which the region is dependent.

6.4 Before admitting an applicant federation to membership of UIPM, the Executive Board shall satisfy itself that the applicant federation fully controls and governs Modern Pentathlon or any of its constituent sports, as the case may be, in its country, and is in good standing in that country.

6.5 Full compliance with and strict observance of the provisions contained in the Statutes, the UIPM Rules on Internal Organisation, other rules and regulations of UIPM, both in spirit and letter, is the primary condition for obtaining and maintaining membership of UIPM.

### ARTICLE 7

7.1 Members may:

- a. Take part in and vote at the General Assembly;
- b. Submit proposals for inclusion in the agenda of the General Assembly;
- c. Take part in the main official competitions of UIPM;
- d. Take part in and benefit from UIPM's assistance, development and educational programmes; and
- e. Exercise all other rights arising from the Statutes, the UIPM Code of Ethics, the UIPM Rules on Internal Organisation, and other rules and regulations, and decisions of UIPM.

### ARTICLE 8

8.1 Members must: (i) maintain full control and governance of Modern Pentathlon or any of its constituent sports, as the case may be, in their country, and (ii) remain in good standing (including good financial standing) at all times.

8.2 Members shall use their best endeavours to participate in official international activities and competitions organised by UIPM.

8.3 Members must observe all regulations and decisions of UIPM and ensure that their members comply with them. Members shall promote amicable and courteous relations with other Members and their members and athletes.

8.4 The statutes and rules of each Member must comply with the Statutes, the UIPM Code of Ethics, the UIPM Rules on Internal Organisation, the UIPM Medical Rules and the UIPM Anti-Doping Rules and Procedures. In the event of conflict, the Statutes, the UIPM Code of Ethics, the UIPM Rules on Internal Organisation, the UIPM Medical Rules and the UIPM Anti-Doping Rules and Procedures shall prevail over the statutes and rules of any Member.

8.5 Members must ensure that their officials are elected or appointed under democratic process.

8.6 The financial statements of Members must comply with applicable local laws and be audited in accordance with international accounting standards each year by an independent external auditor.

8.7 Non-compliance with these provisions may lead to the intervention of UIPM as appropriate, including sanctions provided for in the UIPM Code of Ethics.

8.8 To the extent possible, provisions of articles 6, 7 and 8 above apply to Continental Confederations.

### ARTICLE 9

9.1 The President, upon notice from the Secretary General, will inform the Executive Board of any intention to suspend a Member that has not paid its fees or is in arrears for a period exceeding three months and has been notified of the failure to pay by the Secretary General. Any decision on suspension will be made by a simple majority of the Executive Board.

9.2 Suspension for non-payment is automatically terminated upon receipt of the membership fees due by the relevant Member. The Executive Board must be promptly notified of the occurrence of any such automatic termination of the suspension.

9.3 The Executive Board may suspend a Member for other important reasons and, in particular, when:

- a. the Statutes, UIPM Code of Ethics, UIPM Rules on Internal Organisation, other rules and regulations, or decisions of UIPM are violated; and/or
- b. the requirements of Article 6 above are no longer met.

9.4 A suspended Member shall lose its voting right in the General Assembly while it remains suspended.

9.5 If not lifted by the Executive Board in the meantime, a suspension remains in force until the next General Assembly. The General Assembly is entitled to decide on any such suspension still in force whenever it meets.

9.6 Suspended Members shall pay the membership fee for the year in progress. They may not claim any repayment of sums paid.

### ARTICLE 10

10.1 The Executive Board, upon proposal from the President, is entitled to submit a proposal to the General Assembly for expulsion of a Member if:

- a. it fails to fulfil its financial obligations towards UIPM and is been suspended under article 9 above on the account of such failure to pay; or
- b. it does not comply in a material respect with the Statutes or the UIPM Code of Ethics; or
- c. it loses the status as national federation representing Modern Pentathlon in its country.

### ARTICLE 11

11.1 A Member may resign from UIPM with effect from the end of a calendar year. Notice of resignation shall be delivered to the President and the Secretary General

by recorded delivery letter. Notice of resignation shall reach UIPM headquarters not later than 31 July to be effective from 1 January of the immediately following calendar year.

11.2 Resignation is not effective until the resigning Member has complied with all its financial obligations towards UIPM.

### ARTICLE 12

12.1 In the event of dissolution of a Member, a replacement national member federation may be admitted in accordance with Article 6 above.

## CHAPTER 3 GOVERNING BODIES OF UIPM AND EXECUTIVE BOARD

### ARTICLE 13

13.1 UIPM is governed by the General Assembly and the Executive Board.

13.2 In between General Assemblies UIPM is governed by the Executive Board. Its composition, role and duties are specified hereafter and in the UIPM Rules on Internal Organisation. The Executive Board is competent to take decisions on any matter not provided for in these Statutes, or in the event of force majeure.

13.3 The Executive Board is entitled to delegate any of its powers to one (or more) of its members, or to the President as it thinks fit in its absolute discretion and in compliance with applicable laws.

### ARTICLE 14

14.1 The Executive Board comprises not less than 15 and no more than 30 members elected by the General Assembly pursuant to Article 14 herein. The President and members of the Executive Board are not obliged to reside in Monaco.

14.2 Members of the Executive Board are elected for a 4-year term (with no limits of the length of successive mandate) by secret ballot by an absolute majority of the voting Members in the General Assembly.

14.3 The Presidents of the Continental Confederations, the chairperson of the Athletes' Committee, the chairperson of the Medical Committee, the chairperson of the

Coaches Committee and the Secretary General are members by right of the Executive Board.

14.4 The Secretary General has no vote in the Executive Board.

#### ARTICLE 15

15.1 If a seat in the Executive Board becomes vacant, the next General Assembly will elect a successor member for the vacant seat to hold office until the next 4 yearly election.

#### ARTICLE 16

16.1 The functions of the Executive Board shall be decided by the General Assembly, which shall elect:

**1) the President whose responsibilities shall be to:**

- i) legally represent UIPM;
- ii) supervise the effective management of UIPM as conducted by the Secretary General;
- iii) chair the meetings of the General Assemblies and of the Executive Board and to sign the minutes of such meetings;
- iv) sign, jointly with the Secretary General or the Treasurer as the case may be, any sponsorship agreement binding on UIPM, unless the President receives special authority from the Executive Board to sign alone.

**2) 5 Vice-Presidents.**

The Executive Board appoints, upon proposal of the President, the first Vice-President and four other Vice-Presidents. The first Vice-President shall assist the President in the accomplishment of his responsibilities, replace him if he is absent and, in these cases, the first Vice-President shall have the same powers as the President.

**3) the Treasurer,** who is responsible for supervising all financial activities and for preparing, in cooperation with the Secretary General, an annual report and financial statements on the accounts for the financial year of UIPM, to be submitted for approval to the Executive Board.

#### ARTICLE 17

17.1 The Executive Board, upon proposal by the President, appoints a Secretary General to (i) lead and manage the administration of UIPM; (ii) assist the Treasurer in preparing the annual report and financial statements on the accounts for the financial year of UIPM; and (iii)

implement and execute all the decisions and related administrative work of the Executive Board and the General Assembly as the case may be.

#### ARTICLE 18

18.1 The Executive Board meets, when convened by its President as often as the interest of UIPM requires but at least twice a year. A meeting is regularly constituted when 8 members are present.

18.2 Decisions shall be made by a majority of the members present. In the event of a tie, the President shall have a casting vote.

18.3 Voting by proxy is not permitted.

## CHAPTER 4 GENERAL ASSEMBLY OF UIPM

#### ARTICLE 19

19.1 The General Assembly is the supreme and legislative body of UIPM.

19.2 The General Assembly comprises:

- a. a maximum of two (2) delegates per Member (representative of the relevant National federation, in each case being the president and/or the secretary general of the Member, a member of the executive board of the Member or a person authorised by the Member's president or secretary general) with the right to one (1) vote, which is granted to the first delegate if both are present;
- b. the President;
- c. Members of the Executive Board, who may not vote unless they officially represent a Member;
- d. the chairperson of the Athletes' Committee with the right to one vote;
- e. any Honorary President, Honorary member, Honorary Benefactor and Secretary General Emeritus (without any right to speak and vote); and
- f. any person invited by the Executive Board on appropriate terms and for an appropriate period and, in any case, without voting rights

19.3 The General Assembly meets at least once every other year, in even numbered years, when convened

by the President who shall be obliged to convene a General Assembly (i) on the written request of the Executive Board (ii); when the General Assembly is required to rule on urgent matters; or (iii) if at least 1/3 of the Members request such a meeting (suspended Members, members of the Executive Board and any person referred to in Article 19.2 e) and f) above shall not be taken into account in such requirement).

19.4 The President shall summon the Members to the General Assembly at least 90 days before the date of the meeting together with the provisional agenda which shall be drawn up by the Executive Board. Proposals and requests for intervention shall be addressed by letter to the Secretary General at least 60 days prior to an ordinary General Assembly and at least 30 days prior to an extraordinary General Assembly. They shall be entered in the agenda of the General Assembly in question, provided that they fall within the competence of the General Assembly.

#### ARTICLE 20

20.1 The President shall preside over the General Assembly.

20.2 When the election of the Executive Board takes place, the General Assembly shall be chaired by the longest serving member in the Executive Board who is not a candidate for re-election to the Executive Board, assisted by two tellers of votes chosen by the General Assembly. If no such candidate is eligible the General Assembly shall decide on a suitable temporary chair by show of hands or by a formal vote if needed.

20.3 The Secretariat, headed by the Secretary General, shall function as the office of the General Assembly and the Executive Board.

#### ARTICLE 21

21.1 The General Assembly is legally constituted when half of the active Members\* entitled to vote are present.

21.2 If within 30 minutes of the time notified for the meeting of the General Assembly, the quorum is not reached, the General Assembly shall be adjourned by the President for at least 7 days and no quorum will be needed for its valid constitution at the adjourned meeting. Only resolutions validly included in the agenda of the original General Assembly may be considered by an adjourned General Assembly, whose deliberations thereon shall be valid notwithstanding that the adjourned meeting is not quorate because of the numbers present.

#### ARTICLE 22

22.1 The General Assembly:

- 1) In an election year shall elect the President for a 4-year term;
- 2) In an election year shall elect the members of the Executive Board for a 4-year term;
- 3) In an election year shall elect 2 Honorary Auditors, 6 members of the Technical Committee, 6 members of the Medical Committee and 4 members of the Business Affairs Committee for a 4-year term;
- 4) Shall receive a written report from the President;
- 5) Shall receive written reports on the management of UIPM by the Secretary General and by members of the Executive Board (as applicable) and on the financial situation and the activities of UIPM and approve or disapprove the activities of the Executive Board;
- 6) Shall receive a written report from the Treasurer;
- 7) Shall approve the budget for the two following financial years;
- 8) Shall review and approve financial statements presented by the Treasurer;
- 9) Shall review the annual business plan presented by the Secretary General;
- 10) May amend the Statutes and the UIPM Rules on Internal Organisation;
- 11) May amend the Competition Rules in the year of the Olympic Games. Amendments to the Competitions Rules in all other years can only be approved in case of force majeure;
- 12) Decides on applications for membership and approves the proposal of the Executive Board as to the amount of annual membership;
- 13) Shall appoint an electoral committee made up of 3 members upon proposal of the Executive Board;
- 14) Is informed of all matters affecting the management of UIPM;
- 15) May grant the titles of Honorary President, Honorary member, Honorary Benefactor and Secretary General Emeritus;
- 16) Decides on the expulsion of Member(s) as per Article 10 above; and
- 17) May decide on any other business properly brought before it.

\*Members participating regularly in UIPM Events

22.2 The General Assembly shall thoroughly debate the items on the agenda and make a final decision on all the proposals therein.

22.3 If a Member refers to the General Assembly a matter which is not on the agenda, the General Assembly may accept immediate discussion of the matter if the matter is urgent and at least 2/3 of the voting members at the General Assembly approve of this procedure or it may request the Executive Board to provide it with a report.

#### ARTICLE 23

23.1 The General Assembly is entitled, upon the proposal of the Executive Board, to bestow the title of Honorary President to a former President of UIPM or on any individual or entity for such period and on such terms as it sees fit in recognition of meritorious services rendered to Modern Pentathlon.

23.2 The General Assembly is entitled, upon the proposal of the Executive Board, to bestow the title of Honorary member on any individual or entity for such period and on such terms as it sees fit in recognition of the recipient's contribution to the mission of UIPM.

23.3 The General Assembly is entitled, upon the proposal of the Executive Board, to bestow the title of Honorary Benefactor on any individual or entity for such period and on such terms as it sees fit in recognition of the recipient's contribution to the mission of UIPM.

23.4 The General Assembly is entitled, upon the proposal of the Executive Board, to bestow the title of Secretary General Emeritus to a former Secretary General for meritorious services rendered to UIPM.

23.5 Any Honorary President, Honorary member, Honorary Benefactor and Secretary General Emeritus may participate to the General Assembly without a vote or any obligation to pay annual membership fees.

#### ARTICLE 24

24.1 The resolutions of the General Assembly shall be made by a majority of the votes of the Members present. A majority of 2/3 of the votes of the Members present and eligible to vote shall be required to adopt a motion concerning amendment of the Statutes and the Competition Rules.

24.2 Votes are expressed by show of hands unless a secret ballot is requested. Elections must be by secret ballot, unless there is only one candidate for a vacant seat.

## CHAPTER 5 SUPERVISION OF THE ASSOCIATION

#### ARTICLE 25

25.1 In accordance with Article 10 of Law 1355 of 23 December 2008, the President shall be obliged, within a month, to declare to the Secretariat-General of the Ministry of State, which will acknowledge receipt:

- 1) any change of name, object or address of the registered office;
- 2) any modification of the composition of the Executive Board and of the functions of its members;
- 3) any acquisition or disposal of premises and property. A brief description of the acquisition and amount of purchase price must be attached to such declaration;
- 4) any decision by the General Assembly to amend the Statutes other than those referred to in this Article;
- 5) any decision by the General Assembly entailing the voluntary dissolution of UIPM.

#### ARTICLE 26

26.1 In accordance with Article 11 of Law 1355 of 23 December 2008, the President is obliged to publish, in the "Journal de Monaco", a notice stating:

- 1) any change of name, object or address of the registered office;
- 2) any decision entailing the voluntary dissolution of UIPM.

26.2 Publication shall take place in the month following any of the events described in article 26.1 above.

#### ARTICLE 27

27.1 In accordance with Article 12 of Law 1355 of 23 December 2008, the Executive Board shall keep a register of any amendment to the Statutes or any change in the administration of UIPM including acknowledgements of receipt and administrative authorisations.

27.2 This register shall be presented whenever requested by the administrative or judicial authorities.

## CHAPTER 6 FINANCIAL PROVISIONS

#### ARTICLE 28

28.1 The income of UIPM shall consist of:

- 1) fees from Members;
- 2) grants from the IOC;
- 3) income from its properties, if any;
- 4) any income from the granting of licences for the use of UIPM rights, including but not limited to marketing and broadcasting rights;
- 5) income and/or returns generated in any manner whatsoever from any other UIPM activities;
- 6) any fines received;
- 7) resources received in exceptional cases;
- 8) donations, subsidies and any other kind of returns not following in any of the above listed categories including but not limited to those granted to it subject to the authorisation provided for by Articles 778 and 804 of the Civil Code.

#### ARTICLE 29

29.1 UIPM is the sole holder of broadcasting, licensing and marketing rights, and other rights associated with the Modern Pentathlon and its constituent sports with the exception of the Olympic Games. If the Executive Board so decides, UIPM may assign such rights to a third party for a limited time.

#### ARTICLE 30

30.1 The financial year of UIPM begins on 1 of January and ends on 31 of December of the same year.

30.2 Financial statements in accordance with the laws of the Principality of Monaco must be drawn each year.

30.3 UIPM's financial statements must be audited annually by an independent external auditor, registered in Monaco. The appointment of the Auditor is made by the Executive Board.

30.4 US Dollar is the currency of reference for UIPM. The Executive Board has the right to choose a new currency of reference should UIPM's financial interests, the financial policies of the Principality of Monaco, and/or the international situation so require.

#### ARTICLE 31

31.1 Membership fees are due on 1 July of each year in the amount established by the General Assembly pursuant to Article 22 above. The annual subscription for new Members for the year in question shall be paid within 30 days of the close of the General Assembly at which they were admitted.

## CHAPTER 7 AMENDMENT OF THE STATUTES

#### ARTICLE 32

32.1 Any proposal to amend the Statutes of UIPM must be made by the Executive Board or by 5 active Members. Suspended Members, members of the Executive Board and any person referred to in Article 19.2 e) and f) above shall not be taken into account in such requirement.

32.2 Proposals for amendment shall be submitted to the Secretary General 60 days prior to the next General Assembly, for circulation to and study by the Executive Board. Amendments shall then be sent to all Members at least 30 days prior to the General Assembly.

#### ARTICLE 33

33.1 The Statutes may only be amended by the affirmative vote of a majority of 2/3 of the Members present and entitled to vote at the General Assembly.

## CHAPTER 8 DISSOLUTION – DEVOLUTION OF PATRIMONY

#### ARTICLE 34

34.1 The General Assembly shall declare voluntary dissolution of UIPM if:

- 1) UIPM has become without an object;
- 2) the number of national member federations is reduced to three (3) or fewer; or

3) a dissolution resolution is passed by the General Assembly.

#### ARTICLE 35

35.1 Voluntary dissolution of UIPM requires a majority of  $\frac{3}{4}$  of the votes cast in favour of such a motion at two successive General Assemblies held within 60 days convened specially for this purpose, each requiring a quorum of 75% of Members. Suspended Members, members of the Executive Board and any person referred to in Article 19.2 e) and f) above shall not be taken into account in such requirement.

35.2 In the event of dissolution, the property of UIPM may be liquidated by the General Assembly or liquidators appointed by the General Assembly. In the event of voluntary dissolution, the net resources after expenses shall be passed to the IOC to be used for the benefit of Modern Pentathlon, amateur sports, a youth organisation, or other similar activities.

## CHAPTER IX FINAL PROVISIONS

#### ARTICLE 36

36.1 English is the official language of UIPM.

Other languages may additionally be adopted as working languages if a simple majority of the relevant meeting so decides.

36.2 The official language of the Statutes as well as of all documents relating to the seat in Monaco and to the Monegasque authorities is French.

36.3 Members are responsible for translations of any UIPM official document into the language of their country.

36.4 In the event of conflict between the Statutes, the UIPM Rules on Internal Organisation, other rules and regulations, and/or decisions of UIPM, the English language provisions of the Statutes shall prevail.

#### ARTICLE 37

37.1 These Statutes are approved by the General Assembly of UIPM held in Taipei on 7-8 November 2015 and become effective from the time of their approval by said General Assembly.



# UIPM RULES ON INTERNAL ORGANISATION

EFFECTIVE AS OF 10 NOVEMBER 2018



# UIPM RULES ON INTERNAL ORGANISATION

## ARTICLE 1

- 1.1 These Rules are established by UIPM General Assembly pursuant to the authority conferred to it by UIPM Statutes (the "Statutes"). In case of conflict or discrepancy between these Rules and the Statutes, the English language version of the Statutes shall prevail.

## ARTICLE 2

- 2.1 Official UIPM competitions are: World Championships, World Cups, World Cup Finals, Champion of Champions and World Ranking Competitions, Qualification World Ranking Competitions, Masters' Championship, Military Championships. At the Olympic Games and other multi-sport events UIPM represents the interests and movement of Modern Pentathlon (as defined in the Statutes). Each Continental Confederation is responsible for its Continental Championship according to the international calendar, adopted by the UIPM Executive Board.

## ARTICLE 3

- 3.1 Only competitors and officials who are eligible according to the UIPM's eligibility rules are allowed to take part in competitions organised by UIPM or any of its Members.
- 3.2 Specific eligibility rules are included in the Competition Rules. They are confirmed by the General Assembly and may in no way be contradictory to Rules and By-Laws on eligibility of the International Olympic Committee (IOC).
- 3.3 A pentathlete who is a national of two or more countries at the same time may freely choose which to represent. However, after having represented one country in the Olympic Games, in continental or regional games or in world or regional championships recognised by UIPM, the same pentathlete may not represent another country unless the conditions set forth in paragraphs 3.4 and 3.5 below are met.
- 3.4 A pentathlete who has represented one country in the Olympic Games, in continental or regional games or in world or regional championships recognised by UIPM, and who has changed nationality or acquired

a new nationality, may participate in the Olympic Games and represent the new country provided that at least 3 years have passed since the competitor last represented the former country. This period may be reduced or even cancelled, with the agreement of the relevant Members and their respective National Olympic Committees (NOC) by the UIPM Executive Board which takes into account the circumstances of each case.

- 3.5 A pentathlete who has represented one country in the Olympic Games, in continental or regional games or in world or regional championships recognised by UIPM, and who has changed nationality or acquired a new nationality, may participate in UIPM category A or UIPM category B competitions and represent the new country provided that at least 2 years have passed since the competitor last represented the former country. This period may be reduced or even cancelled, with the agreement of the relevant Members and their respective National Olympic Committees (NOC) by the UIPM Executive Board which takes into account the circumstances of each case.
- 3.6 If an associated State, province or overseas department, a country or colony acquires independence, if a country becomes incorporated within another country by reason of a change of border, if a country merges with another country, or if a new NOC is recognised by the IOC, a pentathlete may continue to represent the country to which the pentathlete belongs or belonged. However, a pentathlete may elect to represent the newly formed or recognised country or be entered in the Olympic Games by the relevant new NOC if one exists. This particular choice may be made only once.
- 3.7 Furthermore, in all cases in which a competitor would be eligible to participate in the Olympic Games, either by representing another country or by having the choice as to the country which such competitor intends to represent, the IOC Executive Board may take all decisions of a general or individual nature with regard to issues resulting from nationality, citizenship, domicile or residence of any competitor, including the duration of any waiting period.
- 3.8 Decisions made under the rules laid down by paragraphs 3.3 to 3.6 above have legal effect for UIPM competitions following the Olympic Games involving such a change or choice of nationality.
- 3.9 UIPM Members and their pentathletes are not allowed to compete in any competition organised by a non-member federation without prior written approval from the UIPM Executive Board. Pentathletes from non-member federations are eligible to participate in UIPM competitions on condition of their prior

notification to the UIPM Secretary General by the host Member.

## ARTICLE 4

- 4.1 Members are automatically included in one of the Continental Confederations according to their geographical location. Each Continental Confederation elects its own President and is composed of not less than 5 Members. UIPM Continental Confederations are: Africa, Asia, Europe, North America and Central America and Caribbean Regions (NORCECA), South America and Oceania.
- 4.2 Subordinate to the General Assembly and the Executive Board the organisation of UIPM is as follows:
- The Continental Confederations;
  - The Honorary Auditors;
  - The Technical Committee;
  - The Coaches Committee;
  - The Athletes' Committee;
  - The Medical Committee;
  - The Business Affairs Committee;
  - The Electoral Committee;
  - The Masters' Committee;
  - The Finance Commission;
  - The Commission for Culture and Education;
  - The Pierre de Coubertin Commission;
  - The Sports for all Commission;
  - The Para-pentathlon Commission;
  - The Ethics Commission;
  - The UIPM Doping Review Panel;
  - The Innovation Commission; and
  - The Equipment Commission.
- 4.3 The Executive Board comprises:
- The President;
  - 5 UIPM Vice Presidents;
  - The Presidents of the Continental Confederations;
  - The Treasurer;

- The Member for Sport;
  - The Member for Marketing;
  - The Member for Media;
  - The Member for Development;
  - The Chairperson of the Athletes' Committee;
  - The Chairperson of the Medical Committee;
  - The Chairperson of the Coaches' Committee; and
  - The non-voting Secretary General.
- 4.4 In order to oversee financial control 2 Honorary Auditors are elected by the General Assembly. They must submit a written report on the accounts and the financial affairs of UIPM to the General Assembly.
- 4.5 The Technical Committee comprises a Chairperson and 12 persons of differing nationalities. The Chairperson is the Executive Board Member for Sport. 6 members of the Technical Committee are elected by the General Assembly and 6 members of the Technical Committee are appointed by the Executive Board.
- 4.6 The Medical Committee comprises 6 members of differing nationalities elected by the General Assembly. The elected members elect among them a Chairperson for the Medical Committee and the Therapeutic Use Exemption Commission. The Chairperson of the Medical Committee appoints two members of the UIPM Therapeutic Use Exemption Commission who are also ad-hoc members of the Medical Committee.
- 4.7 The Athletes' Committee is composed of 7 members of differing nationalities. Athletes from each Continental Confederation elect 1 athlete during the relevant continental championship occurring prior to the UIPM election congress. During the World Senior Championship occurring prior to the relevant UIPM congress, athletes will elect amongst them 1 member of the Athletes' Committee; in case the members elected by the Continental Confederations are all of the same gender, the athlete elected at the World Senior Championship must be of the other gender. To be eligible an athlete must be internationally ranked in the last two seasons before the elections. The elected members elect among them a Chairperson for the Athletes' Committee.
- 4.8 The Business Affairs Committee comprises the Vice President of Business Affairs, the Member of Marketing, the member for Media, and four members elected by the General Assembly acting as Business Affairs Delegates. The Business Affairs Delegates will be appointed for a particular competition by the Executive Board upon proposal of the Vice President of Business Affairs.

- 4.9 The Electoral Committee comprises 3 members of differing nationalities. The Electoral Committee is appointed, not in an election year, by the General Assembly upon proposal of the Executive Board. Each committee member serves a four-year term.
- 4.10 The Masters' Committee comprises 3 members of differing nationalities. All active Master athletes of Modern Pentathlon are eligible.
- 4.11 The Commission for Culture and Education comprises a Chairperson and 4 members appointed by the UIPM Executive Board upon proposal of the President.
- 4.12 The Pierre de Coubertin Commission comprises a Chairperson and 4 members appointed by the UIPM Executive Board upon proposal of the President.
- 4.13 The Sports for all Commission comprises a Chairperson and 4 members appointed by the UIPM Executive Board upon proposal of the President.
- 4.14 The Para-Pentathlon Commission comprises a Chairperson and 4 members appointed by the UIPM Executive Board upon proposal of the President.
- 4.15 The Ethics Commission comprises a Chairperson and 2 members independent from UIPM and its Members appointed by the UIPM Executive Board upon proposal of the President.
- 4.16 The Finance Commission comprises the President, the Treasurer, the Vice-President for Business Affairs and 2 finance experts appointed by the Executive Board upon proposal of the President as members.
- 4.17 The Coaches' Committee comprises 6 members of differing nationalities, all of them well-known coaches. Each Continental Confederation elects 1 coach during the relevant continental championship occurring prior to the UIPM election congress. In absence of a continental championship, UIPM will organise an alternative election for the relevant Continental Confederation. The Chairperson is appointed by the Executive Board among elected members of the Coaches' Committee and is a member of the Executive Board with the right to vote. The Executive Board has the right, but is not obliged to, to appoint 2 additional members to the Coaches' Committee to satisfy the conditions of gender equality, diversity and technical expertise.
- 4.18 The Doping Review Panel is appointed by the Executive Board upon proposal of the President and comprises the Chairperson and 3 members of differing nationalities and experienced in anti-doping, including a legal advisor. Each panel member serves a four-year term.
- 4.19 The Innovation Commission comprises 4 members and 1 chairperson, all experts in sports equipment. Members and the chairperson of the Commission are appointed by the Executive Board upon proposal of the President.
- 4.20 The Equipment Commission comprises 4 members and 1 chairperson, all experts in sports and new technologies. Members and the chairperson of the Commission are appointed by the Executive Board upon proposal of the President.
- 4.21 The members of the Secretariat headed by the Secretary General are employed by UIPM. The Secretary General is appointed (and may be dismissed) by the Executive Board upon proposal of the President. The executive staff of the Secretariat are appointed (and may be dismissed) by the Executive Board upon proposal of the Secretary General. The Secretary General serves as secretary to all the Committees and Commissions.
- 4.22 Save where otherwise specified, the Executive Board is entitled to appoint the Chairperson of each Committee and Commission upon proposal of the President.
- 4.23 The President is a member of all Committees and Commissions and has the right to participate in relevant meetings. In the event the President participates in such a meeting, the President is entitled but not obliged to act as the chairperson.
- 4.24 To encourage gender equality, UIPM strongly recommends equal representation of genders in all its Committees and Commissions.
- 4.25 UIPM Committees and UIPM Commissions are legally constituted when half of the members are present. Decisions in UIPM Committees and UIPM Commissions shall be made by a simple majority of the members present, provided that the constitutive quorum is reached. In the event of a tie the relevant Chairperson shall have the casting vote.
- 4.26 Upon proposal of their name and every other year after their appointment, election or hiring as the case may be, the President, all Executive Board members, the members of all UIPM Committees and UIPM Commissions and UIPM senior employees shall provide a signed Conflict of Interest Disclosure Statement substantially in the form made available by UIPM.

#### ARTICLE 5

- 5.1 The agenda for an ordinary meeting of the General Assembly shall include:
- a) Roll-call of the Members;

- b) Election of 3 tellers of votes and 2 scrutineers;
  - c) Adoption of the agenda;
  - d) Approval of the minutes of the last meeting;
  - e) Report of the President on the activities of the Executive Board;
  - f) Report of the Secretary General;
  - g) Report of the Treasurer;
  - h) Report of representatives of other UIPM bodies;
  - i) Report of the Honorary Auditors;
  - j) Decision concerning the annual membership fee;
  - k) Adoption of the budget plan and approval of the budget for the two forthcoming financial years;
  - l) Decisions concerning Continental Confederations;
  - m) Decisions about suspended Members;
  - n) Decisions on motions submitted;
  - o) Elections;
  - p) Election of Honorary President, Honorary Members, Honorary Benefactors, Secretary General Emeritus, if any;
  - q) Any other business.
- 5.2 The Members must confirm to the Secretary General their participation in the General Assembly at least 60 days before the beginning of an ordinary meeting of the General Assembly and 30 days before the beginning of an extraordinary meeting. A Member may only be represented by citizens of its state. In case of dual citizenship, the citizenship of the state with the strongest effective genuine link shall apply.
- 5.3 Motions can be proposed by Members and/or by the Executive Board. Committees can submit motions to the Executive Board which will, in turn, submit these to the General Assembly. The motions must be signed by the President or Secretary General. Ordinary motions by Members must be submitted in writing and accompanied by all the arguments for their justification not later than 60 days before an ordinary meeting of the General Assembly and 15 days before an extraordinary meeting to the UIPM Secretariat. The UIPM secretariat will distribute all motions received together with the final agenda to the participants of the General Assembly at least 30 days prior to the ordinary meeting and 7 days prior to the extraordinary meeting.
- 5.4 Motions submitted after the cut-off time or those brought forward during the course of the General Assembly can be dealt with only after having been submitted in writing to the President and provided they fall in the competence of the General Assembly. A desk copy must be circulated to the Members. These motions can only be voted on if at least 2/3 of the voting Members present at the General Assembly agree to do so.
- 5.5 Motions to amend the Statutes or to change the purpose of UIPM can only be considered if they are included in the final agenda distributed to the Members.
- 5.6 Minutes from meetings must be distributed to all members within 30 days. The minutes, signed by the President and by the Secretary General shall be approved by the Executive Board.
- 5.7 In the absence of any written objections from the Members present to the General Assembly the minutes are deemed to be approved on the 30th day following the date on which they have been sent to the Members. In case of a Member objecting within the 30 days period, the President and the Secretary General shall provide the Executive Board with their view on the objections. The decision of the Executive Board shall be final.

#### ARTICLE 6

- 6.1 The Executive Board has powers to:
- a) represent the General Assembly in the period between the meetings and to decide on all necessary matters which cannot be postponed till the next meeting of the General Assembly or where a specific authorisation by the General Assembly has been granted. The Executive Board is entitled to amend the Competition Rules in lieu of the General Assembly only in case of force majeure and with a majority of ¾ of the members of the Executive Board;
  - b) implement the UIPM Rules on Internal Organisation;
  - c) implement and put in practice decisions adopted by the General Assembly;
  - d) decide upon the competencies of its own members;
  - e) report to the General Assembly;
  - f) appoint the members of all Committees and Commissions;
  - g) appoint the Chairpersons of all Committees and Commissions;

- h) approve organisers for UIPM events;
- i) grant provisional membership;
- j) decide on sanctions according to the Doping Review Panel;
- k) submit motions to the General Assembly;
- l) provide the General Assembly with its opinion on motions submitted to the General Assembly;
- m) decide whether to award the Medal of Honour;
- n) employ (and dismiss) the Secretary General upon proposal of the President;
- o) employ (and dismiss) executive staff of the Secretariat upon proposal of the Secretary General;
- p) appoint experts for specific tasks upon proposal of the President and/or the Secretary General;
- q) approve the UIPM calendar;
- r) appoint Technical Delegates;
- s) assign as decided in the budget the contributions to the Continental Confederations based on their budget plan;
- t) receive audited account of each Continental Confederation and annual report of each Member on its finance and activities;
- u) report any trafficking in prohibited substances, as enumerated in the UIPM Medical Rules, committed by athletes or coaches, trainers, officials and staff of UIPM and its members as well as of individual members of UIPM and members of the UIPM Committees to the competent judicial and administrative authorities;
- v) approve the statutes of a Member and/or a Continental Confederation or request amendments.

6.2 Executive Board members shall submit their proposals for the agenda of the next Executive Board's meeting in writing to the Secretary General at least 20 days before the respective meeting.

## ARTICLE 7

7.1 The President shall represent UIPM at the Olympic Games, World Championships, Category A Competitions and other major sport events, and in the relations with all other sports and relevant organisations. The President shall be responsible for the political relations inside and outside UIPM and for all liaison with IOC, NOCs, ANOC, ASOIF, CISM, FIMS, FISU, IPC, WADA and other international sport organisations.

- 7.2 Pending further decision on their specific tasks by the Executive Board on proposal of the President, the Vice Presidents are responsible for liaison between Members and other international sport organisations and for special projects.
- 7.3 The Vice President for Business Affairs is responsible for expanding and coordinating the business activities of UIPM.
- 7.4 The Treasurer is responsible for all financial affairs of UIPM. Notwithstanding his responsibility the treasurer may delegate the conduct of financial transactions to the Secretary General.
- 7.5 The Member for Sport is responsible for all sport technical matters and acts as chairperson for the Technical Committee.
- 7.6 The Member for Marketing is responsible for marketing and promotion activities of UIPM.
- 7.7 The Member for Media is responsible for developing media and TV contacts, and the publications of UIPM including the website, and elaborating and updating UIPM Media Strategy.
- 7.8 The Member for Development is responsible, in close cooperation with the Continental Presidents, for setting up support programmes for new members and other Members in need of a development aid and elaborating and updating a Development Strategy for UIPM.
- 7.9 The Secretary General is responsible for all staff at UIPM headquarters and proposes executive staff appointment (and their dismissal) to the President and the Executive Board. The Secretary General shall serve as secretary to the General Assembly, the Executive Board and all Committees and Commissions. The Secretary General is responsible for the editing and distribution of official statements to the relevant body or the Members and for the general management of UIPM. The Secretary General, through the Secretariat, distributes the annual UIPM Calendar, manages the UIPM Archives, disseminates all relevant information to Members and to other International Sport Federations and partners of UIPM.

7.10 The Technical Committee:

- a) supervises the application of the Competition Rules;
- b) prepares proposal for possible motions for the Executive Board concerning the elaboration and changes of the Competition Rules and qualification rules for the Olympic Games and World Championships;

- c) proposes Technical Officials and International Judges to the Executive Board, for official UIPM Competitions and the Olympic Games;
- d) provides its non-binding advice to the General Assembly and/or the Executive Board (as the case may be) from the technical point of view on motions concerning technical rules of UIPM;
- e) prepares and/or carries out seminars, prepares and controls examinations for international judge candidates;
- f) provides the Executive Board with any information on technical matters concerning UIPM sports;
- g) prepares the international UIPM calendar;
- h) ratifies the Ranking Lists prepared by the UIPM Secretariat.

7.11 The Chairperson of the Medical Committee and the Chairperson of the Athletes' Committee shall be invited to the Technical Committee meetings, whenever specific items concerning these Committees are in the agenda. These representatives shall have the right to speak but no voting right.

7.12 All official UIPM competitions must be controlled from a technical perspective by an appointed Technical Delegate. The Technical Delegate must not come from the host country. A Technical Delegate is a qualified International Judge, proposed by the Technical Committee and appointed by the Executive Board to ascertain that all official UIPM competitions are run in strict conformity with the UIPM Competition Rules. In UIPM competitions the Technical Delegate ensures the fulfilment of the technical duties of the respective Competition signed by the organisers with UIPM. In accordance with UIPM Competition Rule 1.9.2, 3 Technical Delegates shall be present at the Olympic Games and World Championships.

7.13 The Medical Committee is responsible for all medical questions of UIPM and for supervising compliance of UIPM Rules and Policy with WADA requirements. The Medical Committee shall act as UIPM Medical Delegates and shall:

- a) supervise the doping-control at UIPM World Championships and other major sports events in agreement with the Executive Board;
- b) advise team captains who intend to administer drugs to sick or injured athletes, so that no forbidden drug is used by mistake;
- c) be represented at the competition site, in the control station;

d) be represented in the laboratory if B-samples must be analysed;

e) propose to the Executive Board which IOC/WADA-accredited laboratory will receive samples for analysing;

f) inform the Secretary General if there is a report from the laboratory on suspected doping of an A-sample;

g) take part in the elaboration of Rules which may have medical consequences;

h) prepare the UIPM Test Distribution Plan for adoption by the Executive Board;

i) prepare basic data for the Medical Rules;

j) report to the Executive Board on the activities carried out.

7.14 The Athletes' Committee represents the interests of the athletes. It has the right to represent the athletes' position to the General Assembly, the Executive Board and the Committees, in particular to the Technical and the Medical Committees. The chairperson is entitled to take part in the meetings, and vote there, of the General Assembly and reports to the Executive Board and the General Assembly.

7.15 The Electoral Committee oversees election process by:

a) supervising the administrative process relating to the elections;

b) monitoring compliance with the relevant regulations;

c) ensuring the correct application of the applicable regulations;

d) issuing instructions;

e) admitting candidates;

f) performing the requested eligibility checks on each candidate; and

g) authorising debates or public meetings at the candidates' request.

In its duties, the Electoral Committee is supported by the UIPM headquarters.

7.16 The Masters' Committee represents the interests of the Masters men and women. The Masters' Committee brings the Masters' points of view to the General Assembly, to the Executive Board and the Committees. The chairperson is entitled to take part in the meetings, but not to vote there, of the General

Assembly. The chairperson of the Masters' Committee reports to the Executive Board and the General Assembly.

- 7.17 The Commission for Culture and Education is responsible for the promotion of culture and education through the sport of Modern Pentathlon in sport, society and the IOC.
- 7.18 The Pierre de Coubertin Commission is linked to the International Pierre de Coubertin Committee and promotes the memory and ideals of Baron Pierre de Coubertin, founder of the sport of Modern Pentathlon.
- 7.19 The task of the Coaches' Committee is in close cooperation with the Technical, Athletes and Medical Committees and the Continental Confederations to educate coaches and athletes, prepare coaches' clinics and seminars, elaborate proposals on training, sport and other questions related in the interests of the coaches for discussion by the Technical Committee and discussion and decision by the Executive Board.
- 7.20 The task of the Finance Commission is to assist the Treasurer and Executive Board concerning the use of income from sponsor and supplier contracts as well as from donations.
- 7.21 The task of the Sports for all Commission is to propose developing projects and assist the development of the non-Olympic competitions administered by UIPM including, but not limited to, Biathle, Triathle, Tetrathlon and Laser-Run.
- 7.22 The task of the Para-Pentathlon Commission is to assist the President and the Executive Board in the development of Para-Pentathlon.
- 7.23 The task of the Ethics Commission is to assist the President and the Executive Board on all questions relating to good governance.
- 7.24 The UIPM Doping Review Panel receives information as to potential violations of the UIPM Anti-Doping Rules and decides on any anti-doping rule violation.
- 7.25 The task of the Innovation Commission is to study the sports' environment and develop the strategy to be implemented by UIPM in its format. The Innovation Commission will monitor and analyse new tendencies and design formats and products to suit the market needs. The Innovation Commission is also responsible for creating summaries of new technologies available and give opinions on such developments.
- 7.26 The task of the Equipment Commission is to help UIPM in developing and improving sports equipment standards. The Equipment Commission is responsi-

ble for UIPM sports equipment's homologation procedures, renewals and monitoring. The Equipment Commission shall also assess future compliance of new available equipment with UIPM Rules and Regulations.

#### ARTICLE 8

- 8.1 Composition, competences and proceedings of the UIPM Court of Arbitration are laid down in the UIPM Code of Ethics.
- 8.2 An appeal against decision of the UIPM Court of Arbitration lies to the Court of Arbitration for Sport (CAS), Lausanne.
- 8.3 In addition to the parties involved in the arbitration case, in matters of anti-doping rule violations the National Anti-Doping Organisation of the respective person's country of residence or countries where the person is a national, the IOC and the International Paralympic Committee, as far as the decision may have effect in relation to the Olympic Games and Paralympic Games, including decisions affecting eligibility to these Games, and WADA, shall have a right to appeal to the CAS.
- 8.4 The UIPM Code of Ethics rules the time for filing such appeals.
- 8.5 The filing deadline for an appeal or intervention filed by WADA shall be the later of 21 days after the last of appeal of the other party under UIPM Code of Ethics or 21 days after WADA's receipt of the complete file relating to the decision. Where, in a particular case, the UIPM fails to render a decision with respect to whether an anti-doping rule violation was committed within a reasonable deadline set by WADA, WADA may elect to appeal directly to CAS as if UIPM had rendered a decision finding no anti-doping rule violation.

#### ARTICLE 9

- 9.1 Voting by proxy is never permitted. All those entitled to attend a meeting without a right to vote may express their opinion.
- 9.2 In the Executive Board and the Committees only, postal or electronic votes may be organised whenever necessary.

#### ARTICLE 10

- 10.1 Members of the Executive Board, Presidents of the Continental Confederations, members of the UIPM Court of Arbitration, members of the Committees, of the Doping Hearing Panel, of the Competition Juries and Juries of Appeal as well as the Secretariat of the

UIPM shall be indemnified by or on behalf of the UIPM against expenses, judgements, fines, amounts paid in settlement actually and reasonably incurred by one or all of them who were or are a party to any pending or completed action, suit or proceeding, whether civil, criminal, administrative or investigative by reason of such services, provided the Member acted in good faith and in a manner reasonably believed to be in the best interests of UIPM.

- 10.2 The indemnification provided hereunder shall extend to any person who ceased to serve UIPM and shall inure to the benefit of his/her/their executors and administrators.
- 10.3 The officers of UIPM are entitled to be reimbursed out of the funds of UIPM for expenses incurred by them in carrying out UIPM business, provided such reimbursements are within agreed budgets and subject to the approval of the Executive Board.

# UIPM ELECTION RULES

EFFECTIVE AS OF 10 NOVEMBER 2018



## UIPM ELECTION RULES

### ARTICLE 1

- 1.1 All candidates are elected for 4 years, beginning on the day after the end of the General Assembly in the year of the Olympic Games.
- 1.2 Nominations for election can only be submitted by Members. Candidates must be citizens of the state represented by the respective Member. In case of dual citizenship, the Member of the state which has the most effective genuine link to the candidate is entitled to present the nomination.
- 1.3 There cannot be more than one member from one nation in the Executive Board or in any UIPM Committees or UIPM Commissions at the same time. No person can have more than one function in the Executive Board. There shall be no more than three persons from one nation elected in the Executive Board, UIPM Committees and UIPM Commissions at the same time. This provision does not apply to members by right of the Executive Board, members of the Medical Committee and the Honorary Auditors.

### ARTICLE 2

- 2.1 Elections for the Masters' Committee take place at the Masters' World Championships in the year of the Olympic Games. The written notice of elections to the Masters' Committee must be announced by the Secretary General on the UIPM website ([www.pentathlon.org](http://www.pentathlon.org)) and must be issued to all Members at least 120 days before the opening of the Masters' World Championships. Members are responsible for disseminating this information to all their Master competitors at least 90 days before the opening of the Masters' World Championships.

### ARTICLE 3

- 3.1 UIPM Headquarters may issue a template nomination form, uploaded on the UIPM website, that can be used by Members. In any event, the nomination shall contain at least the following information:
  - i. The name of the Member nominating the candidate;
  - ii. The signature of the relevant Member's President or Secretary General;

- iii. The name of the candidate;
  - iv. The position for which the candidate is nominated;
  - v. A signed declaration by the Member and the candidate confirming that all the eligibility criteria are met by the candidate and that the candidate will at all times comply with the UIPM Code of Ethics and with UIPM Rules and Regulations;
  - vi. A copy of a valid passport of the candidate;
  - vii. A signed Conflict of Interest Disclosure Statement in the form attached to the UPM Code of Ethics as Annex 2.
- 3.2 Nominations for election must be presented to the Secretary General at least 90 calendar days before the day of the elections. Nominations received by UIPM after midnight (CET) of the ninetieth day prior to the first day of the General Assembly or Competition where the election will take place, shall be inadmissible.
  - 3.3 Nominations should include the biography and a signed curriculum vitae of the candidate in English. It shall contain a summary of the candidate's professional skills/background and modern pentathlon related activities.
  - 3.4 Candidates must accept the nomination in writing.
  - 3.5 The personal presence of the candidate at elections is desirable, but not mandatory.

### ARTICLE 4

- 4.1 Prior to submitting a nomination, each Member shall ensure that its candidate meets the following requirements:
  - i. Shall not be an undischarged bankrupt;
  - ii. Shall not be younger than 18 years;
  - iii. Shall never have been condemned for an offence punishable by a term of imprisonment of two or more years (whether or not a term of imprisonment is imposed) unless that person has obtained a pardon or has served the sentence;
  - iv. Shall not be banned from being a company director;
  - v. Shall not be subject to an order that the individual lacks competence to manage their own affairs;
  - vi. Shall not have been deprived of civil rights by proper application of the law;

vii. Shall not have been sanctioned for a breach of any IOC rule, or the UIPM Code of Ethics or UIPM Anti-Doping Rules or any other UIPM Rules;

viii. Shall never have been removed from UIPM office in accordance with the UIPM rules;

ix. Shall never have been prohibited from holding such position, or any similar position, under any other circumstances as provided by law;

x. Shall have decent communication skills in English;

xi. Shall not be a member of the Electoral Committee.

In addition to the foregoing:

**Candidates to be Honorary Auditors** shall:

(i) have

(a) a university degree in accounting, finance, or law, or

(b) a recognized license or certification in practice of accounting such as a Certified Public Accountant (CPA), Certified Financial Analyst (CFA), Certified Management Accountant (CMA), Enrolled Agent (EA), Certified Internal Auditor (CIA), Certified Information Systems Auditor (CISA), Certified Fraud Examiner (CFE), Certified Government Auditing Professional (CGAP), Certified Bank Auditor (CBA), or similar certification or experience, as determined by the Electoral Committee, and

(c) a thorough understanding of general accounting and budget principles, and

(ii) be independent, which shall mean a person who is not a President, Secretary General, Executive Board member or employee of a Member;

**Candidates to the Athletes' Committee, to the Coaches' Committee and to the Masters' Committee** must have never received any sanction in relation to the World Anti-Doping Code nor in any case connected with betting and manipulation of competitions;

**Candidates to the Coaches' Committee** must (i) hold an international license/certificate issued by UIPM; and (ii) be an active coach at international level in any one or more of the UIPM disciplines;

**Candidates to the Technical Committee** must hold a valid license of the UIPM Judges Certification Programme level 3 (international Judges in all 5 Modern Pentathlon disciplines);

**Candidates to the Medical Committee** must be

practising physicians with special knowledge in sports medicine, pharmacology, biochemistry and in laboratory control.

4.2 The Secretary General shall forward all nominations received within the deadline to the Electoral Committee.

## ARTICLE 5

5.1 The Electoral Committee shall review all the nominations received and decide whether they meet the requirements set out in articles 3 and 4 above. Such requirements shall be met at the time of the nomination.

5.2 The Electoral Committee may set a time limit within which a Member or a candidate shall submit clarifications or additional documentation, failing which the nomination will be rejected.

5.3 When rejecting a nomination, the Electoral Committee shall state the reasons for doing so.

5.4 Upon completion of the eligibility checks, the Electoral Committee shall pass the list of eligible candidates to the Secretary General.

5.5 The Secretary General shall publish the list of eligible candidates on the UIPM website at least 60 calendar days ahead of the General Assembly.

5.6 The list of candidates with the nominating Member and respective biographies shall be included in the General Assembly agenda.

5.7 The Electoral Committee authorises debate or public meeting to promote candidatures upon request of the candidate and subject to no objections from all other candidates to the same position.

## ARTICLE 6

6.1 Candidates have the right to promote their candidacies subject to the UIPM Code of Ethics, the UIPM Statutes, the UIPM Rules on Internal Organisation, the UIPM Election Rules, as well as any other rules and/or regulations issued by the UIPM.

6.2 Candidates shall refrain from carrying out any electoral campaign prior to the official publication on the UIPM website of the list of eligible candidates.

6.3 Candidates shall conduct their campaigns with honesty, dignity, moderation and respect for their opponents, limiting expenditure to a proportionate level.

6.4 Candidates may decide to create, at their own

expenses, written propaganda materials provided that said material is compliant with UIPM Statutes and all other UIPM rules and regulations. Candidates shall provide UIPM with their propaganda in electronic format not later than 10 days after publication of the list of eligible candidates. UIPM will, in turn, send to all Members the campaign material received. Any document, pamphlet, written material received by UIPM after the 10th day following publication of the list of eligible candidates will not be sent out.

6.5 Candidates are hereby forbidden from, directly or indirectly, soliciting, accepting or offering any form of remuneration or commission, or any concealed benefit or service of any nature, connected with any UIPM activity or election or appointment to office.

6.6 Candidates must not in any case and under any pretext give presents or offer donations or gifts or grant advantages or benefits of whatever nature to or at the request of any party who will vote in, or who may otherwise influence, an election.

6.7 Candidates must not enter into any promise or undertaking to personally act (whether as a candidate or following election), for the direct or indirect benefit of any UIPM body, a member federation or individuals within UIPM.

6.8 Candidates shall not accept paid travel expenses, air tickets and/or accommodation from other candidates, their representatives, members or other associated organisations to attend meetings and activities directly or indirectly related to a candidate's elections. For the avoidance of doubts, travel expenses paid by UIPM to allow candidates who are in office to perform their duties are not included in this article 6.7.

6.9 Candidates should not enter into any form of undertaking with any individual or organization that is likely to affect the candidate's freedom of decision or action, if elected.

6.10 Except with the permission of the Electoral Committee, no debate or public meeting of any kind should be organized to promote a candidature.

6.11 Candidates may make declarations, issue statements or give interviews provided, in doing so, that they strictly comply with the UIPM Statutes, the UIPM Code of Ethics, the UIPM Rules on Internal Organisation and any other applicable UIPM rules and regulations.

6.12 Candidates shall not make payments, directly or indirectly, to journalists or other persons affiliated to the media to promote their candidacies.

6.13 Candidates should not produce (or cause third parties to produce) any spoken word, written text or rep-

resentation of any nature likely to harm the image or reputation of UIPM or that of another candidate.

6.14 Candidates should not engage in any act, collaboration or collusion by or between candidates with the intent to defraud or manipulate the results of the vote.

6.15 Candidates should not request support or service from UIPM or from external advisors who are working with UIPM in connection with their candidacies.

6.16 UIPM resources shall not be used to fund travel and campaign activities by candidates who currently occupy elected or appointed positions.

6.17 Candidates who hold official positions within UIPM, UIPM bodies or member federations are permitted to remain in office during the election campaign.

6.18 The UIPM Headquarters shall maintain neutrality at all times. The members of the staff will limit their relations with candidates strictly to the content of their respective role as staff.

6.19 Campaign budget and sources of financing of all candidates shall be made available to UIPM and all Members within 30 calendar days of the date of the elections.

## ARTICLE 7

7.1 For all elections where a quorum is not imposed by the Statutes, a duly called electoral body is competent to pass a resolution and elect candidates with no specific requirement as to the number of votes cast.

7.2 For elections to the Masters' Committee only one delegate per Member, designated by the respective Member, is entitled to vote. These delegates must not be candidates.

7.3 All elections are conducted by secret ballot.

7.4 Elections shall be conducted according to the order defined in the agenda. Any exception to this rule must be decided by a simple majority of the respective electoral body.

7.5 At the General Assembly elections shall be made in the following sequence:

a) President;

b) 5 Vice-Presidents;

c) Treasurer;

d) Member for Sport;

e) Member for Marketing;

- f) Member for Media;
- g) Member for Development;
- h) 2 Honorary Auditors;
- i) 6 members of the Technical Committee;
- j) 6 members of the Medical Committee;
- k) 4 members of the Business Affairs Committee.

7.6 At the Executive Board elections shall be made in the following sequence:

- a) First Vice President;
- b) Vice President for Business Affairs;
- c) three Vice-Presidents.

7.7 At the Masters' World Championship where elections for the Masters' Committee take place, each Member present will have the right to one vote.

7.8 At the Senior World Championship and at the Continental Championship where elections for the Athletes' Committee take place, each athlete will have the right to one vote.

7.9 At the Continental Championship where elections for the Coaches' Committee take place, each Member present will have the right to one vote.

#### ARTICLE 8

8.1 Each candidate for President, Vice-President and the Executive Board will have the opportunity to make a presentation to the Members during the General Assembly. The presentation should be focused on the credentials of the candidate and the vision of the objectives for the term, if elected. The presentation shall last no more than 3 minutes and may include video presentations and multi-media.

8.2 Candidates for other positions have the right to be introduced at the General Assembly by the chair. They can provide all Members with a brief statement -not longer than one minute- containing their vision and their objectives for the term.

#### ARTICLE 9

9.1 In the event of a single candidate standing for a particular post, such candidate shall in any case obtain an absolute majority of votes cast to be elected. If the candidate does not obtain an absolute majority of votes cast, another candidate must be found.

9.2 In the event of multiple candidates standing for a par-

ticular post, the candidate or candidates achieving an absolute majority of votes cast in the first ballot is elected.

9.3 If no candidate obtains an absolute majority of the votes cast in the first ballot, another ballot must be held. If a second ballot has to be made for one or more functions in a body and there are still more than two candidates, the candidate with the least votes shall be eliminated.

9.4 The remaining candidates go forward to the next ballot. This procedure will be continued until a candidate has gained a simple majority of votes cast.

9.5 If there are only as many candidates as persons required for a body, the body concerned may be elected in one poll. The candidates shall in any case obtain an absolute majority of votes cast to be elected. If this is not the case separate elections must be held for each vacant position.

9.6 If there are more candidates than positions, the candidates elected are those who received an absolute majority of votes cast.

9.7 An unsuccessful candidate for any position may, if also so nominated, be included in a subsequent election for any other position.

#### ARTICLE 10

10.1 "Simple majority" requires the successful candidate to receive more votes than the votes cast for any other candidate.

10.2 "Absolute majority" requires the successful candidate to receive at least one more than half of the total number of votes cast. In assessing the total number of votes cast in relation to a requirement for an absolute majority, odd numbers are to be rounded up.

10.3 The following shall not be counted as valid votes: abstentions, blank votes, votes for more or fewer candidates than the number required, votes declared void by the scrutineers whose decision will be final (e.g. unintelligible votes).

10.4 The President of the General Assembly shall announce the result of the elections, stating the number of valid votes cast for each candidate. The results of the elections will also be published on UIPM website.

10.5 The signed scrutineers' result will be kept and archived at the UIPM Headquarters.

10.6 The ballot papers shall be kept in a sealed container for 100 calendar days after the elections and then destroyed.

#### ARTICLE 11

11.1 If the result of the elections is challenged, any protest shall be made in writing and presented to the President of the General Assembly before the closing of the respective meeting of the General Assembly. The protest will be handled by the Electoral Committee in accordance with the UIPM Code of Ethics.

11.2 Any Member is entitled to bring a breach of these rules to the attention of the Electoral Committee. The Electoral Committee shall immediately inform in writing the Executive Board to conduct an inquiry in accordance with the UIPM Code of Ethics.

11.3 Any matters related to or arising out of the elections not provided for in these rules should be dealt with by the Electoral Committee.

# IV

# CODE OF ETHICS

EFFECTIVE AS OF 10 NOVEMBER 2018



UNION INTERNATIONALE  
DE PENTATHLON MODERNE

## UIPM CODE OF ETHICS

### CHAPTER I LEGAL BASIS & JURISDICTION

- 1.1 This code of ethics (hereinafter 'the Code') is issued on the basis of the UIPM Statutes. It restates the commitment of UIPM to the Olympic Charter and Bye-Laws, in particular the IOC Code of Ethics, and UIPM's loyalty to the Olympic ideal inspired by Pierre de Coubertin, the founder of Modern Pentathlon. 'Modern Pentathlon' hereinafter bears the meanings prescribed in the Statutes depending on the context.
- 1.2 The Code applies to all Members (as defined in the Statutes), their members and every participant in Modern Pentathlon. It applies to organising committees of UIPM competitions. It also applies to everyone associated with all participants, including managers, agents, coaches, physical trainers, medical staff, scientists, sports organisations, sponsors, lawyers and any person promoting an athlete's sporting career, including family members ('the Athletes' Entourage'). All athletes and the members of the Athletes' Entourage are subject to the IOC guidelines for Athletes' Entourage, as updated and modified from time to time, as shown on the IOC website ([www.olympic.org](http://www.olympic.org)).
- 1.3 The Code may not be invoked where the subject matter of the Complaint:
  - a. Derives from an issue of judgment on the field of play.
  - b. Is more appropriately covered by applicable competition rules.
  - c. Is against a UIPM employee acting as such in circumstances where the procedures in the employee's contract are more appropriate.
  - d. Is more appropriately subject to the jurisdiction and pending determination of the UIPM Medical Rules.
  - e. Is subject to the jurisdiction and pending determination of UIPM's Anti-Doping Rules and UIPM Anti-Doping Procedures. However, the Code covers any breach of the UIPM Anti-Doping Rules and UIPM Anti-Doping Procedures not directly sanctioned by them.

### CHAPTER II RELEVANT CONDUCT

- 2.1 Doing, attempting, agreeing to do or encouraging any of the following is a breach of the Code.
  - a) Discrimination of any kind on whatever grounds, be it ethnical origin, gender, sexual orientation, language, religion, political or any other opinion, national or social origin, property, birth or other status;
  - b) Harassment and abuse of any kind, be it physical, professional or sexual, and any physical or mental injuries.
  - c) Acting corruptly;
  - d) Direct or indirect solicitation, acceptance or offer of any form of remuneration or commission, or any concealed benefit or service of any nature, connected with the organisation of the Olympic Games or UIPM competitions or multi-sport event; Exempted are gifts of nominal value. Any other gift must be passed on to the organisation to which the beneficiary is a member;
  - e) Participation in any form of betting or support for betting related to the Olympic Games or any event or activity under the jurisdiction of the UIPM;
  - f) Improperly influencing the course or result of the Olympic Games and/or any other Modern Pentathlon event;
  - g) Support or promotion of a candidate city or host country (for any relevant event) contrary to the IOC Code of Ethics;
  - h) Unsporting conduct;
  - i) Violating the principles of fair play;
  - j) Use or possession of a prohibited substance or prohibited method under the UIPM Anti-Doping Rules without valid justification;
  - k) Failure to cooperate in full with the UIPM or other Anti-Doping Organisations investigating Anti-Doping Rules violations;
  - l) Unjustifiably endangering or impairing the reputation or interests or contractual relations of UIPM;
  - m) Acting in a situation of an undisclosed conflict of interest involving UIPM;
  - n) Offences against the UIPM Competition Rules, if and insofar as penalties are not already imposed;
  - o) Breach of the UIPM Statutes, the UIPM Rules on

Internal Organisation, the UIPM Betting and Anti-Corruption Rules, the UIPM Election Rules, any other Rules of the UIPM as well as for offences against resolutions of UIPM bodies;

p) Improperly influencing the result of any UIPM election or violating the UIPM Election Rules;

q) Breach of the relevant requirements for participants' safety, wellbeing and medical care;

r) Breach of the code for the welfare of horses as approved and modified from time to time by the Executive Board of UIPM and published on the website ([www.pentathlon.org](http://www.pentathlon.org)).

2.2 For the avoidance of doubts, a conflict of interest is defined as any personal, professional or financial relationship, including relationships of family members that could influence or be perceived to influence objectivity when representing or conducting business or other dealings for or on behalf of UIPM. Family members include a spouse, parent, child or spouse of a child, sibling, spouse of a sibling, cohabitating companion or any other individual with a significant familial or familial-like relationship.

Conflicts must be avoided whenever practicable. However, conflicts may be linked to experience and expertise that is necessary to represent UIPM in its governing bodies as well as in competitions and events.

2.3 The appearance of impropriety can be as damaging as actual impropriety to the UIPM's reputation. As a result, compliance with this Code will avoid, to the fullest practicable extent, both the perception and incidence of improper situations. A substantial appearance of a conflict of interest exists whenever an individual involved in any capacity with UIPM is involved in or perceived to be involved in multiple interests, one of which could possibly influence, or is perceived to influence the motivation for an act in the other.

2.4 At least every other year and more often as necessary, UIPM President, each UIPM Executive Board member, Member of a Committee or a Commission, other member of a body or group designated by any of the former to act on behalf of or advise UIPM (including but not limited to working groups and task forces), and senior UIPM employees ("UIPM Representatives") must disclose any conflict by submitting the appropriate form to the UIPM Secretary General. Senior UIPM employees are department heads or anyone exercising substantially similar decision-making discretion. Such template is attached hereto as Annex

2. On an annual basis, prior to their appointment, and more often as necessary, UIPM Officials (a person appointed by UIPM or by an Organising Committee and/or NF to perform a specifically defined officiating duty at an UIPM Event such as judges, veterinaries and doctors) are also requested to provide a signed copy of the declaration attached hereto as Annex 3. The Secretary General must submit a copy of the appropriate form to the President.

2.5 Any UIPM Representative with a conflict of interest with respect to a given person or concern must:

2.5.1 Disclose the interest to the Secretary General, who shall have the authority to either:

a) approve or disapprove the relationship if with respect to an employee other than the Secretary General;

b) refer the matter to the UIPM Executive Board for evaluation by the UIPM Disciplinary Panel;

2.5.2 Not be present during or participate in any formal or informal discussions related to the relationship between UIPM and the person or concern unless and until notified by the Secretary General or the UIPM Disciplinary Panel; and

2.5.3 Not be present during any discussion and abstain from voting and from influencing the vote on any matter related to the person or concern.

The Secretary General may disclose decisions taken under 2.51(a) or 1(b) above in the interest of transparency.

2.6 If a conflict of interest involves the Secretary General, the matter shall be referred to the UIPM Disciplinary Panel by the UIPM President.

2.7 For the avoidance of doubt and to avoid any appearance of impropriety, UIPM Representatives shall abstain from voting and from influencing the vote on any matter related to the country of which they hold citizenship or to Athletes representing the same country.

## CHAPTER III COOPERATION

3.1 Any one subject to the Code must co-operate fully with any inquiry into a breach of the Code undertaken

by UIPM and on written request must provide specific information which UIPM considers relevant to investigate any potential breach.

## CHAPTER IV PROCEDURE

4.1 Where an allegation is made of a breach of the Code the procedures set out in the Procedural Rules attached below shall be followed unless and to the extent that it is agreed or is just to adopt a different approach.

## CHAPTER V PENALTIES AND COSTS

5.1 Breaches of the Code may be punished by any one or a combination of the following:

(i) A public or private reprimand or written warning.

(ii) Unless otherwise specified an unlimited fine;

(iii) (As regards Members) Suspension or expulsion from the UIPM;

(iv) Disqualification from entering any Modern Pentathlon competition for such period or periods as is just;

(v) A ban from involvement in any activity involving Modern Pentathlon for such period or periods as is just;

(vi) Confiscation of equipment or items connected with doping;

(vii) Removal from any function, office or duties for such period or periods as is just.

In addition, the Disciplinary Panel may make

(viii) An order for payment of the costs of and incidental to the Disciplinary Panel hearing including costs incurred by the Applicant, and the cost of convening the Panel and associated with the hearing. Costs shall be reasonable and proportionate. Liability for costs will normally follow the event.

5.2 Fines

5.2.1 If a member of a Member Federation is proved to have breached the Anti-Doping Rules and if the Member Federation is blameworthy it may be liable to a fine.

5.2.2 An organising committee breaching its obligations under this Code or any other UIPM Rules may be liable to a fine.

5.2.3 Anyone responsible for doping a horse in any UIPM competition is liable to a fine of up to 10,000 USD.

5.2.4 Fines shall be paid when and in the manner directed but not later than 60 days from the date of the order in question. Fines become the property of the UIPM. In default of timely payment the outstanding balance will bear interest at the highest legal interest rate applicable pursuant to Monegasque law.

5.3 Disqualification and ban

5.3.1 An athlete may be disqualified and a member may be banned from UIPM competitions and multi-sport events where a UIPM sport is included for a period up to four years for any serious breach of this Code. The period of disqualification may be for a defined period or for life in cases involving betting or match-fixing or in circumstances involving repeated serious breaches of this Code.

5.3.2 Unless otherwise specified, disqualification or a ban shall begin immediately.

5.3.3 In case of breach of disqualification by an athlete, the athlete's results of competitions in the disqualification period are void, and the disqualification period originally imposed shall start again from the date of violation.

5.3.4 If any disqualification is imposed in respect of events at a competition at which the disqualified athlete won a prize, such prize must be promptly returned to the Competition Jury to be awarded to the next athlete or team in the final ranking.

5.3.5 The owner of a horse found to have been doped under the UIPM Anti-Doping Rules and UIPM Anti-Doping Procedures may be disqualified for such period as is just from providing any horse for UIPM events.

5.4 Confiscation of equipment

5.4.1 Any equipment used by an athlete and not

compliant with the UIPM Competition Rules may be seized and confiscated by the Competition Jury and on request and at the expense of the National Federation may at the Jury's discretion be returned to the athlete's National Federation at the end of the competition season.

5.4.2 Any equipment utilised in a breach of the UIPM Competition Rules or this Code may be confiscated by a Competition Jury.

## 5.5 Suspension from Membership

A Member in serious breach of this Code may be suspended from membership for a period for up to four years.

## CHAPTER VI ORGANS AND JURISDICTION

6.1 Disciplinary power under this Code is exercised in first instance at the request of the UIPM Executive Board which shall appoint a committee of up to 3 persons (the "Disciplinary Panel") who shall all be independent of the events in dispute (but who may be members of the Executive Board) to address them on such terms as the Executive Board prescribes.

6.2 Appeals against disciplinary decisions of the Disciplinary Panel may be made to the UIPM Court of Arbitration.

## CHAPTER VII UIPM COURT OF ARBITRATION

### 7.1 Composition

The UIPM Court of Arbitration is an independent institution made up of one or three arbitrators ('the Court'). The Court shall comprise three arbitrators unless the parties agree to proceed with one. One of the members of the Court must have at least 7 years post qualification legal experience.

### 7.2 Arbitrators, Seat of arbitration

7.2.1 Each Member has the right to nominate two arbitrators to be included in a list of arbitrators eligible to the UIPM Court of Arbitration for each individual case. The nominations are to be sent

by registered mail to the UIPM Secretary General.

7.2.2 The UIPM Secretary General shall keep a list of arbitrators to be updated and provided to Members every year on the first working day of January.

7.2.3 The seat of the UIPM Court of Arbitration is Monaco. The place of hearing of the arbitration shall be at the absolute discretion of the Court. The applicable law of the arbitration shall be agreed by the parties and in default of agreement determined by the Court as having the most appropriate connection with the dispute.

### 7.3 Competence

UIPM Court of Arbitration has jurisdiction:

a) to arbitrate controversies arising out between UIPM and any Member;

b) to arbitrate controversies between Members;

c) to decide on appeals against penalties imposed by the Disciplinary Panel;

d) to decide on appeals against decisions of executive boards of Continental Confederations.

### 7.4 Establishing the Court

In a case involving a 3-person panel each party shall nominate one arbitrator. If a party fails to make an appointment within 10 days of notice of a claim, the other party may seek the appointment of the second arbitrator by the UIPM Secretary General. The appointed arbitrators shall agree on a President. If they do not reach an agreement within 10 days from the date of the appointment of the second arbitrator the President shall be appointed by the President of the CAS Lausanne on the motion of a party or either arbitrator.

## CHAPTER VIII PROCEEDINGS FOR UIPM COURT OF ARBITRATION

8.1 As regards an appeal against a decision of the Disciplinary Panel the following provisions shall apply.

8.2 Either party may seek to appeal any final decision

of the Disciplinary Panel but an Appeal may only be brought with permission from the Disciplinary Panel and if permission is refused from the Court.

8.3 Within 21 days of notification to the parties of the Disciplinary Panel's decision the party wishing to appeal (the "Appellant") must notify the Disciplinary Panel, the Court and the UIPM Secretary General in writing of his wish to appeal and must set out in reasonable detail why the decision of the Disciplinary Panel was wrong.

8.4 The Court shall consider whether to grant permission to appeal part or all of the Disciplinary Panel's decision taking into account the nature, importance and prospective viability of the issues sought to be raised on appeal, the availability of any fresh evidence not previously produced through no fault of the Appellant, and all other relevant factors.

8.5 As regards all other matters the following provisions apply.

8.6 Any claimant must lodge his claim with the UIPM Court of Arbitration in writing by registered letter to the UIPM Secretary General.

8.7 All claims must set out briefly their nature and the facts relating thereto and must include notice of the appointment of an arbitrator. The UIPM Secretary General must promptly inform on the claim the other party to the dispute.

8.8 The Court may have regard to and apply such of the UIPM Procedural Rules set out below as are appropriate to the case.

8.9 In their decisions, the Court may have regard to UIPM Statutes, this Code and any other applicable UIPM Rules.

8.10 In case of necessity the President of the Court is authorised to decide upon provisional or conservatory measures.

8.11 Appeals against decisions of the UIPM Executive Board or of a relevant decision of a Continental Confederation have no suspensive effect.

8.12 The UIPM Court of Arbitration's decisions with reasons in writing are to be transmitted to both parties to the controversy by registered mail within 14 days of their issue.

8.13 The UIPM Court of Arbitration may also rule on the costs of the proceedings. Upon filing of the arbitration request, the claimant shall pay a fee of USD 300, without which the UIPM Court of Arbitration shall not proceed. Upon formation of the Court, the President of the UIPM Court of Arbitration shall fix, subject to

later changes, the amount and the method of payment of the advance costs.

8.14 Appeal against decisions of the UIPM Court of Arbitration may be filed with the Court of Arbitration for Sports (CAS), Lausanne.

## CHAPTER IX EXEMPTION FROM LIABILITY

9.1 Anyone acting in good faith in operating the Code (including the Executive Board, any Disciplinary Panel and any Court and their members) is exempt from any liability for claims in respect of their actions.

## CHAPTER X ENTRY INTO FORCE

10.1 This Code replaces the UIPM Disciplinary Rules and enters into force when adopted by UIPM General Assembly.

## ANNEX 1 UIPM PROCEDURAL RULES

- 1 Where a breach of the Code is alleged the following Rules shall apply unless and to the extent that it is agreed or is just to adopt a different approach.
- 2 The parties to the process are called 'the Applicant' and 'the Respondent'.

### Objective

- 3 The over-riding objective of these Rules and of the process is to provide UIPM with procedures to determine disciplinary complaints and related issues in a fair, flexible, appropriate and proportionate manner.
- 4 To this end the Disciplinary Panel may waive any formal requirements and procedures established by these Rules if and to the extent that it is just to do so and shall at all times regulate their procedure so as to comply with their overriding objective. In particular, the Disciplinary Panel may dispense with any requirement in respect of notices, the formal evidence of witnesses, service of documents, or as to time, if and to the extent it is just to do so.
- 5 Hearings held in connection with competitions may be conducted by an expedited process depending on the circumstances of the case.
- 6 The parties have to be granted a timely, fair and impartial hearing. The parties may waive their right to a hearing.

### Finality

- 7 Disciplinary Panel decisions are final and binding on the parties and may not be challenged other than by appeal to the UIPM Court of Arbitration.

### Procedure

- 8 Every allegation of a breach of the Code (hereinafter a 'Complaint') shall be:
  - a) Made promptly and, unless there are circumstances which the Disciplinary Panel accepts justify delay, within 30 days of the underlying events
  - b) Made in clear terms in writing setting out in reasonable detail the matters and breaches alleged so that the Respondent may know the nature of the Complaint.
  - c) Supported by a written statement, signed and verified by the Applicant, and if appropriate by supporting

written statements, addressing the substance of the Complaint at a length and in detail proportionate to the matters in issue and provided to the Respondent and the Disciplinary Panel.

- 9 Unless otherwise directed all notices and communications to the Disciplinary Panel shall be sent by hard copy and e-mail to the UIPM Secretary General.

### Preliminary consideration of a Complaint

- 10 On receipt of a Complaint the Chairman of the Disciplinary Panel shall promptly determine whether it falls within the jurisdiction established by the Code, and whether a prima facie case is established. If in the Chairman's opinion the Complaint is inadmissible, or no prima facie case is established, the Chairman may dismiss the Complaint in writing without hearing the Respondent.
- 11 If in the Chairman's opinion the parties should be given the opportunity of making representations as to whether a prima facie case is established, the Chairman shall arrange for service of a copy of the Complaint upon the Respondent inviting representations in writing within 14 days, and after considering the same the Chairman shall decide whether a prima facie case is established.

### Service of the Complaint

- 12 If the Complaint passes preliminary consideration, the Applicant shall arrange for service of a copy of the Complaint on the Respondent, whom shall provide a detailed written response and rebuttal, if any, within such time as the Disciplinary Panel considers appropriate.
- 13 If it appears to the Disciplinary Panel that the Complaint requires amendment, the Committee may at any time permit an amendment. If in the opinion of the Disciplinary Panel the amendment would cause undue prejudice, the Disciplinary Panel may refuse the amendment or allow the amendment granting an adjournment of the hearing or giving other directions on such terms as to costs or otherwise as the Disciplinary Panel thinks fit.
- 14 No Complaint may be withdrawn without the consent of the Disciplinary Panel.

### Directions

- 15 The Disciplinary Panel may at any time give such directions as it thinks appropriate for the conduct of the hearing of the Complaint.
- 16 On receipt of the Respondent's response the Disciplinary Panel shall consider whether an oral hearing of the Complaint is appropriate, and if so shall fix the

time, day and place for the hearing, and give notice thereof to the parties. In considering the timing of the hearing the Disciplinary Panel may take into account whether other proceedings may arise from the subject matter of the Complaint and if so whether any postponement until the conclusion of such proceedings is appropriate.

- 17 The Disciplinary Panel may require the parties to attend or contribute to a directions hearing (which may be by video link, telephone conference or otherwise as convenient) to facilitate the giving of directions and the hearing of the Complaint, and may communicate with the parties in any appropriate manner, including e-mail.
- 18 The Disciplinary Panel may at any time require the parties to supply such further information, documents and copies thereof as it thinks fit.
- 19 If it appears to the Chairman of the Disciplinary Panel that the hearing may involve specialist evidence, or has elements which are complex or difficult, he may, with or without holding a directions hearing, issue on behalf of the Disciplinary Panel an order for directions setting out a timetable for the exchange or sequential provision of information and evidence, witness statements or summary written arguments so that the hearing may be expeditious, properly conducted and fair. If appropriate the Disciplinary Panel may make similar orders during a hearing.
- 20 Unless the Disciplinary Panel directs otherwise each party shall provide the Disciplinary Panel and every other party at least 7 days before the day fixed for the hearing of the Complaint with a paginated copy of the documents on which that party intends to rely.

### Open hearings

- 21 The Disciplinary Panel shall direct when the Complaint shall be heard and establish a timetable for the hearing. It shall be in private, provided that any other Member of UIPM may attend through a representative but not participate.

### Adjournment

- 22 The Disciplinary Panel may of its own motion or at the request of any party adjourn or postpone any hearing on such terms as it thinks fit.
- 23 Where anyone appearing before a Panel is or is likely to be subject to other proceedings arising from the circumstances the subject of the Complaint, the Disciplinary Panel shall decide whether it is right to proceed. If it does not proceed to hear the case and adjourns the matter until the conclusion of the other proceedings, it may impose a temporary suspension

on the Respondent. Before so doing so the Disciplinary Panel must first give the Respondent an opportunity to make representations about temporary suspension.

### The hearing

- 24 The Disciplinary Panel and/or the Court (as applicable) may shorten or extend time limits and regulate its procedures according to the requirements of each case. It need not adhere to strict or any rules of evidence but has the power to receive all information and materials to enable it to reach a just decision.
- 25 In the discretion of the Disciplinary Panel or the Court (as applicable):
  - a) A tape recording of the proceedings may be made. If so a copy of such recording shall be supplied to any party to the proceedings who requests one within 3 months of the hearing and reimburses the cost of supply.
  - b) The Disciplinary Panel or the Court (as applicable) may itself make or order that a note or transcript of the proceedings be taken. Any third party transcriber shall if required supply to any party to the proceedings a copy of the transcript of the proceedings on reimbursement of the cost.
- 26 The parties may be accompanied by and conduct their respective cases through a friend or adviser, whether legally qualified or not. The Disciplinary Panel and/or the Court (as applicable) may have its legal adviser present at any hearing and may take advice as to or after the hearing.
- 27 The standard of proof is on a balance of probabilities bearing in mind the seriousness of the matters alleged.
- 28 The Disciplinary Panel and/or the Court (as applicable) may refer to any previous proceedings. The fact, terms, and facts underlying any conviction, finding, sentence, determination, or judgment by any court, panel or Tribunal shall be admissible as prima facie proof of any fact.
- 29 If any party fails to attend in person or be represented at the hearing, the Disciplinary Panel may on being satisfied such party had notice of the hearing proceed to hear and determine the Complaint in his absence.

### Procedure at the hearing

- 30 The Respondent will be asked whether the Complaint is accepted. In making any order, the Disciplinary Panel and/or the Court (as applicable) is entitled to take into account the Respondent's attitude to the Complaint and the timing of its disclosure.

- 31 In the event that the Complaint is contested the Applicant or his representative will open the case and call any witness(es) or produce the evidence to establish the Complaint.
- 32 It is the duty of the parties to notify their witnesses of the hearing date and venue and to arrange their attendance.
- 33 If at the conclusion of the Applicant's case there is a case to answer the Respondent will be given the opportunity to open his case, to give evidence, and to call such relevant witnesses as he wishes.
- 34 Subject to the control of the Disciplinary Panel any witness may be examined, cross-examined or re-examined on relevant matters.
- 35 After the parties have adduced their evidence the Applicant may summarise his case.
- 36 Thereafter the Respondent may summarise his case: the Respondent has the right to the last word.
- 37 The Disciplinary Panel will retire and consider its decision.

**In the event the Complaint is established**

- 38 If the Disciplinary Panel finds the Complaint admitted or established it shall:
  - a) Inform the Respondent (and subsequently provide short written reasons on request).
  - b) Give the Respondent the opportunity to speak and adduce evidence in mitigation, and if appropriate, in respect of costs.
- 39 No one else may address the Disciplinary Panel as of right but the Court may seek such further information as it requires from any person.
- 40 The Disciplinary Panel will retire and consider the appropriate decisions and orders.
- 41 The Disciplinary Panel and/or the Panel (as applicable) may announce its decisions and orders at the conclusion of the hearing or in due course in writing or otherwise as convenient to the Committee. In any case the Committee will deliver its decisions within three months of the conclusion of the process.
- 42 The Disciplinary Panel and/or the Court (as applicable) may make, and publish in such manner as it thinks fit, including on the UIPM website, such decisions and orders as meet the justice of the case.
- 43 The UIPM Secretariat will retain a record of all decisions and orders of the Disciplinary Panel and/or the Court (as applicable).

## ANNEX 2 | CONFLICT OF INTEREST DISCLOSURE STATEMENT

- 1 Do you or a member of your immediate family transact business with or provide services to UIPM, one of its National Federations, an organiser of UIPM events, and/or a sponsor of UIPM Events? Please list the relevant entities or persons below and describe the dealings. If none, please state "none".

-----

- 2 Are you or a member of your immediate family an officer, partner, director, trustee, owner (in the case of public companies, of at least 5% of total equity), beneficial owner, consultant or employee of any person or entity that does business with or provides services to UIPM, one of its National Federations, an organiser of UIPM events, and/or a sponsor of UIPM events? Please list the relevant entities or persons below and describe the dealings. If none, please state "none".

-----

- 3 Is there any other relationship or matter not disclosed above that might be perceived to compromise your obligations to UIPM or its National Federations, competitors, owners, organisers, officials and/or sponsors? If none, please state "none".

-----

I have read and understood the UIPM Code of Ethics and certify that the answers to the questions above are complete and fully reflect any conflicts of interest as defined in there. I understand that this document must be submitted at least every other year, in even numbered years, to UIPM Secretary General and that I have a responsibility to update it as often as necessary in the interim should my circumstances change. Refusal to provide the requested information, failure to timely update such information or provision of incomplete information is a violation of the policy and may result in removal from the Executive Board, Committee, Commission or other relevant body including but not limited to working groups or task forces or employment.

Name \_\_\_\_\_ Signature \_\_\_\_\_

UIPM Position \_\_\_\_\_ Date and place \_\_\_\_\_

## ANNEX 3 | UIPM OFFICIALS DISCLOSURE STATEMENT

As a UIPM Official I undertake to respect all UIPM Rules and Regulations at all times, and in particular the UIPM Code of Ethics and the UIPM Code for the welfare of horses.

I am aware that I am a representative of UIPM while officiating at any UIPM Event (hereinafter the "Event/s"). I am also conscious of my role as an authority and of the associated obligation to have adequate knowledge of the principles of the sport and the relevant UIPM Rules and Regulations, and to apply them at all times in a fair and consistent way.

I will fulfil all UIPM requirements (including maintaining the necessary qualification) relevant to each Event to which I am appointed.

While I am officiating:

- I will act in an appropriate and respectful manner towards humans and animals, paying constant attention to their safety and I will carry out all duties in a professional manner.
- I will refrain from consuming alcohol and I will not use any substances and/or medications that would impair my judgement.
- I will not compete at the Event.
- I will be willing to answer the questions of stakeholders (including but not limited to athletes, coaches, organisers or horse owners and my colleagues). I will take the time to politely and objectively explain my decisions where possible.
- I will be familiar with all the relevant UIPM Rules and Regulations and will be well prepared for every Event.
- I will cooperate with the Organiser of the Event and with my colleagues.
- I will be punctual and dress appropriately.

I commit to avoid any actual or perceived conflict of interest. A conflict of interest is defined as any personal, professional or financial relationship, including relationships of family members that could influence or be perceived to influence objectivity when representing or conducting business or other dealings for or on behalf of UIPM.

I will maintain a neutral, independent and fair position towards athletes, coaches, organisers or horse owners and other officials. Financial and/or personal interests will never influence my officiating duties and I will spare no effort to avoid any such perception.

I will not engage in nationalistic judging.

Activities that lead or may lead to a conflict of interest when officiating at an Event include but are not limited to:

- Being responsible/co-responsible for selecting teams and/or individuals or training Athletes within a NF present at the Event, if the teams and/or individuals participate in a competition falling within the level and age group of the authority of the Official.
- Being in a situation of financial dependence or gaining financial profit from participating athletes, coaches, organisers or horse owners (excluding any payment(s) permitted under the UIPM Rules and Regulations, such as per diems). The same rule applies with regard to National Federations or other organisations involved in the Event, if the dependence exceeds a regular employment. Employees of participating National Federations cannot act as President of the Jury, President of the Appeal Committee, Medical Delegates, Veterinary at UIPM International Events, World Cup Finals, World Championship and Continental Championships.
- Having a close personal relationship with an Athlete competing in a competition that I am officiating at.
- Being the Owner/part-Owner of a horse taking part in a competition that I am officiating at.
- Having recently treated a horse competing in an Event that I am officiating at.

On becoming aware of a potential Conflict of Interest I undertake to promptly notify the UIPM Secretary General (or where the conflict only arises and/or is identified on-site, the President of the Technical Committee) of any of the above or other possible conflicts of interest or circumstances that may be perceived as such. Conflicts must be avoided whenever practicable. However, conflicts may be linked to experience and expertise that is necessary to qualify Officials.

In the course of my duties or when representing the UIPM, I will refrain from making any public statements, including to the media or in social media, that might cause harm to the UIPM or to modern pentathlon sport in general. This includes statements that might create a perception of bias.

I will not place bets on Athletes competing at UIPM Events or otherwise receive any financial or other gain as a product of a particular result.

I am fully aware that the breach of any obligation assumed under the UIPM Code of Ethics and/or any breach of the UIPM Rules and Regulations may lead to sanctions as stipulated in the UIPM Rules.

Name \_\_\_\_\_ Signature \_\_\_\_\_

UIPM Position \_\_\_\_\_ Date and place \_\_\_\_\_

# V

# BETTING AND ANTI-CORRUPTION RULES

EFFECTIVE AS OF 10 NOVEMBER 2018



# BETTING AND ANTI-CORRUPTION RULES

## ARTICLE 1: INTRODUCTION

- 1.1 The integrity of sport depends on the outcome of sporting events and competitions being based entirely on the competing merits of the participants involved. Any form of corruption that might undermine public confidence in the integrity of a sporting contest is fundamentally contrary to the spirit of sport and must be eradicated.
- 1.2 The Union Internationale de Pentathlon Moderne ("UIPM") has adopted these rules ("Rules") as a means of safeguarding the integrity of the sport of Modern Pentathlon (the multi-disciplinary sport comprising fencing, swimming, horse riding, shooting and running or any combination thereof) by (i) prohibiting any conduct that may impact improperly on the outcome of its events and competitions and (ii) establishing a mechanism of enforcement and sanction for those who, through their corrupt conduct, place the integrity of fencing at risk.
- 1.3 In these Rules "Modern Pentathlon" includes, as far as the context permits, every combination of the five sports including World School Biathlon (running and swimming), Biathle (continuous run/swim/run), Laser Run (running and shooting combined event) Triathle (any 3 sports) and Tetrathlon (any 4 sports).
- 1.4 UIPM is committed to taking all practical and reasonably possible steps within its power to prevent corrupt practices that would undermine the integrity of the sport of fencing. This commitment shall include:
- raising awareness of these Rules at all levels, including using existing and/or suitably adapted educational programmes and tools to provide information and educational materials to the widest possible target audience;
  - establishing the best means of monitoring sports betting at International competitions, including monitoring any irregular betting patterns that may occur;
  - establishing the best means for the receipt of third party information on a confidential basis, for example, by establishing an information 'hot-line';
  - establishing and, where appropriate, making use of effective channels for the exchange of intelligence and information related to the investigation and/or

prosecution of violations under these Rules;

e) co-operating with competent national and international authorities where information in its possession may also amount to or evidence infringements of other applicable laws or regulations; and

f) exchanging information with partners in the Olympic Movement (through ASOIF or otherwise) on acknowledged areas of best practice in relation to combatting corruption in sport.

## ARTICLE 2: APPLICATION AND SCOPE

- 2.1 These Rules shall apply to all participants who participate or assist in an International competition and each Participant shall be automatically bound by, and be required to comply with, these Rules by virtue of such participation or assistance.
- 2.2 It shall be the personal responsibility of every participant to make himself aware of these Rules including, without limitation, what conduct constitutes a violation of the Rules and to comply with those requirements. Participants should also be aware that conduct prohibited under these Rules may also constitute a criminal offence and/or a breach of other applicable laws and regulations. Participants must comply with all applicable laws and regulations at all times.
- 2.3 Each Participant submits to the exclusive jurisdiction of UIPM (through the UIPM Disciplinary Panel and the UIPM Court of Arbitration) to hear and determine charges brought by UIPM and to the exclusive jurisdiction of CAS to determine any appeal from a UIPM Court of Arbitration decision.
- 2.4 Each Participant shall be bound by these Rules until a date 6 months following his/her last participation or assistance in a competition. Each participant shall continue to be bound by these Rules in respect of his/her participation or assistance in competitions taking place prior to that date.
- 2.5 It is recommended that Members and Continental Confederations put in place similar rules and regulations to safeguard the integrity of competitions held under their respective jurisdictions.
- 2.6 Notice under these Rules to a Participant who is under the jurisdiction of a Member or Continental Confederation may be accomplished by delivery of the notice to the Member or Continental Confederation concerned. The Member or Continental Confederation shall be responsible for making immediate contact with the participant to whom the notice is applicable.

## ARTICLE 3. RULE VIOLATIONS

The following conduct shall constitute a violation of these Rules (in each case whether effected directly or indirectly):

### 3.1 Betting

(a) Participation in, support for, or promotion of, any form of betting related to an event or competition (whether one in which the Participant is directly participating or is otherwise taking place in the Participant's sport or is taking place in another sport at an International competition hosted by a Major event Organisation in which the Participant is participating), including betting with another person on the result, progress, outcome, conduct or any other aspect of such an event or competition.

(b) Inducing, instructing, facilitating or encouraging a Participant to commit a violation set out in this Rule 3.1.

### 3.2 Manipulation of results

(a) Fixing or contriving in any way or otherwise improperly influencing, or being a party to fix or contrive in any way or otherwise improperly influence, the result, progress, outcome, conduct or any other aspect of an event or competition.

(b) Ensuring or seeking to ensure the occurrence of a particular incident in an event or competition which occurrence is to the Participant's knowledge the subject of a Bet and for which he or another Person expects to receive or has received a benefit.

(c) Failing in return for a benefit (or the legitimate expectation of a benefit, irrespective of whether such benefit is in fact given or received) to perform to the best of one's abilities in an event or competition.

(d) Inducing, instructing, facilitating or encouraging a Participant to commit a violation set out in this Rule 3.2

### 3.3 Corrupt Conduct

(a) Accepting, offering, agreeing to accept or offer, a bribe or other benefit (or the legitimate expectation of a benefit, irrespective of whether such benefit is in fact given or received) to fix or contrive in any way or otherwise to influence improperly the result, progress, outcome, conduct or any other aspect of an event or competition.

(b) Providing, offering, giving, requesting or receiving any gift or benefit (or the legitimate expectation of a

benefit, irrespective of whether such benefit is in fact given or received) in circumstances that the Participant might reasonably have expected could bring him or the sport into disrepute.

(c) Inducing, instructing, facilitating or encouraging a Participant to commit a violation as set out in this Rule 3.3.

### 3.4 Inside Information

(a) Using Inside Information for betting purposes or otherwise in relation to betting.

(b) Disclosing Inside Information to any person with or without benefit where the Participant might reasonably be expected to know that its disclosure in such circumstances could be used in relation to betting.

(c) Inducing, instructing, facilitating or encouraging a Participant to commit a violation set out in this Rule 3.4.

### 3.5 Other violations

(a) Any attempt by a Participant, or any agreement by a Participant with any other person, to engage in conduct that would culminate in the commission of any violation of this Rule 3 shall be treated as if a violation had been committed, whether or not such attempt or agreement in fact resulted in such violation. However, there shall be no violation under this Rule 3 where the Participant renounces his/her attempt or agreement prior to it being discovered by a third party not involved in the attempt or agreement.

(b) Knowingly assisting, covering up or otherwise being complicit in any acts or omissions of the type described in Rule 3 committed by a Participant.

(c) Failing to disclose to UIPM or other competent authority (without undue delay) full details of any approaches or invitations received by the Participant to engage in conduct or incidents that would amount to a violation as set out in this Rule 3.

(d) Failing to disclose to UIPM or other competent authority (without undue delay) full details of any incident, fact or matter that comes to the attention of the Participant that may evidence a violation under this Rule by a third party, including (without limitation) approaches or invitations that have been received by any other party to engage in conduct that would amount to a Violation of this Rule;

(e) Failing to cooperate with any reasonable investigation carried out by UIPM or other competent author-

ity in relation to a possible breach of these Rules, including failing to provide any information and/or documentation requested by UIPM or competent competition authority that may be relevant to the investigation.

3.6 The following are not relevant to the determination of a violation of these Rules:

(a) Whether or not the Participant was participating, or a Participant assisted by another Participant was participating, in the specific event or competition;

(b) The nature or outcome of any bet in issue;

(c) The outcome of the event or competition on which the bet was made;

(d) Whether or not the Participant's efforts or performance (if any) in any event or competition in issue were (or could be expected to be) affected by the acts or omissions in question;

(e) Whether or not the results in the event or competition in issue were (or could be expected to be) affected by the acts or omissions in question.

#### ARTICLE 4. BURDEN AND STANDARD OF PROOF

4.1 UIPM or other prosecuting authority shall have the burden of proving that a violation has occurred under these Rules. The standard of proof shall be whether UIPM or other prosecuting authority has proved a violation to the comfortable satisfaction of the Disciplinary Panel, a standard which is greater than the mere balance of probability but less than proof beyond a reasonable doubt.

4.2 Where these Rules place the burden of proof on the Participant alleged to have committed a violation to prove facts or circumstances, the standard of proof shall be by a preponderance of the evidence.

4.3 The Disciplinary Panel shall not be bound by judicial rules governing the admissibility of evidence and facts may be established by any reliable means, including but not limited to, admissions, evidence of third parties, witness statements, expert reports, documentary evidence and other analytical information.

4.4 The Disciplinary Panel shall have discretion to accept any facts established by a decision of a court or professional disciplinary tribunal of any competent jurisdiction which is not subject to a pending appeal as irrefutable evidence against the Participant to whom the decision relates unless the Participant establishes that the decision violated the principles of natural justice.

4.5 The Disciplinary Panel shall be entitled to draw an adverse inference against any Participant who is accused of committing a violation if that Participant fails to appear in front of the Disciplinary Panel if requested to do so a reasonable time in advance of the hearing, or fails to comply with any information request that has been submitted in accordance with these Rules.

#### ARTICLE 5. INVESTIGATING AND ADJUDICATING A BREACH

5.1 Any allegation or suspicion of a violation of these Rules shall be reported to UIPM for investigation and possible charge in accordance with the UIPM Code of Ethics, except as specifically stated herein.

5.2 No action may be commenced under these Rules against a Participant for a violation of these Rules unless such action is commenced within three (3) years from the date on which the violation occurred.

#### ARTICLE 6. AMENDMENTS AND INTERPRETATION

6.1 These Rules may be amended from time to time by UIPM.

6.2 Betting and anti-corruption rules are, by their nature, competition rules governing the conditions under which the sport of Modern Pentathlon as well as other UIPM multi-discipline sports are to be held. They are not intended to be subjected to or limited by the requirements and legal standards applicable to criminal proceedings or employment matters. The policies and standards set out in these Rules as a basis for the fight against corruption in the sport represent a broad consensus of those with an interest in fair sport and should be respected by all courts and adjudicating bodies.

6.3 These Rules shall be interpreted as an independent and autonomous text and not by reference to existing law or statutes, except UIPM Statutes.

6.4 The headings and sub-headings in these Rules are for convenience only and shall not be deemed to be part of the substance of these Rules or to affect in any way the language of the provisions to which they refer.

6.5 The Definitions in Article 7 shall be considered an integral part of these Rules.

6.6 All references to the masculine gender in these Rules shall also include references to the feminine.

6.7 If any Rule or provision of these Rules is held to be invalid, unenforceable or illegal for any reason, it shall be deemed to be deleted and the Rules shall

otherwise remain in full force and effect.

6.8 These Rules shall come into full force and effect on the date specified by UIPM General Assembly adopting these rules.

#### ARTICLE 7. DEFINITIONS

"Athlete" means any athlete who participates or is selected to participate in an event or competition;

"Athlete Support personnel" means any coach, trainer, manager, athlete representative, agent, team staff member, official, medical or para-medical personnel, family member or any other person employed by or working with an Athlete or the Athlete's Member participating in a competition.

"Benefit" means the direct or indirect receipt or provision (as relevant) of money or money's worth (other than prize money and/or contractual payments to be made under endorsement, sponsorship or other contracts);

"Bet" means a wager of money or any other form of financial speculation;

"Betting" means making, accepting, or laying a bet and shall include, without limitation, activities commonly referred to as sports betting such as fixed and running odds, totalisator/toto games, live betting, betting exchange, spread betting and other games offered by sports betting operators;

"CAS" means the Court of Arbitration for Sport in Lausanne, Switzerland;

"Competition" means an event or series of events conducted over one or more days under one ruling body (e.g., regional Championships);

"Disciplinary Panel" means the members of the UIPM Disciplinary Panel appointed by UIPM pursuant to the UIPM Code of Ethics to perform the functions assigned to it in these Rules;

"Event" means a single, race, match or contest;

"Inside Information" means any information relating to any competition or Event that a Participant possesses by virtue of his/her position within the sport. Such information includes, but is not limited to, factual information regarding the competitors, the conditions, tactical considerations or any other aspect of the competition or Event, but does not include such information that is already published or a matter of public record, readily acquired by an interested member of the public or disclosed according to the rules and regulations governing the relevant competition or event;

"International competition" means any official competition of UIPM or other competition under its jurisdiction;

"Member" means the member of UIPM to which a Participant under these Rules is affiliated directly or through a club or another body affiliated to the member;

"Major event Organisation" means any international multi-sport organisation that acts as the ruling body for any continental, regional or other International competition;

"Participant" means any athlete, athlete support personnel, judge, referee, delegate, commissioner, jury of appeal member, competition official, Member team or delegation member and any other accredited person;

"Person" shall include natural persons, bodies corporate and unincorporated associations and partnerships (whether or not any of them have separate legal personality);

"Sanction" means any sanction that the Disciplinary Panel has the right to impose in accordance with UIPM Code of Ethics;

"Violation" means a violation of these Rules as set out in Rule 3.



UNION INTERNATIONALE  
DE PENTATHLON MODERNE

**UNION INTERNATIONALE DE PENTATHLON MODERNE**

Stade Louis II – Entrée E  
13 avenue des Castelans  
MC-98000 Monaco

Tel: +377 97 77 85 55 | Fax: +377 97 77 85 50  
[uipm@pentathlon.org](mailto:uipm@pentathlon.org) | [pentathlon.org](http://pentathlon.org)